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## **REVIEW ARTICLE**

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#### **Key Points:**

- Effects of e[O<sub>3</sub>], water and salinity stresses, and adoption of drip irrigation all lower total growing–season ET<sub>a</sub> for almost all crops
- Effects of e[CO<sub>2</sub>], warming, heat stress, mulching, planting density, and nitrogen addition on total growing– season ET<sub>a</sub> appear inconsistent
- Existing ET<sub>a</sub> models can describe the response of ET<sub>a</sub> to many factors and stresses except for e[O<sub>3</sub>], heat stress, and nitrogen application

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# The Effects of Changing Environments, Abiotic Stresses, and Management Practices on Cropland Evapotranspiration: A Review

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**Abstract** The significance of crop evapotranspiration  $(ET_a)$  to climate science, agronomic research, and water resources is not in dispute. What continues to draw attention is how variability in  $ET_a$  is driven by changing environments, abiotic stresses, and management practices. Here, the impacts of elevated CO<sub>2</sub> concentration ( $e[CO_2]$ ), elevated ozone concentration ( $e[O_3]$ ), warming, abiotic stresses (water, salinity, heat stresses), and management practices (planting density, irrigation methods, mulching, nitrogen application) on cropland ET<sub>a</sub> were reviewed, along with their possible causes and estimation. Water and salinity stresses, e[O<sub>3</sub>], and drip irrigation adoption generally led to lower total growing-season ET<sub>a</sub>. However, total growing-season ET<sub>a</sub> responses to e[CO<sub>2</sub>], warming, heat stress, mulching, planting density, and nitrogen supplement appear inconsistent across empirical studies. The effects of  $e[CO_3]$ ,  $e[O_3]$ , water and salinity stresses on total growingseason ET<sub>a</sub> are attributed to their influence on stomatal conductance, root water uptake, root and leaf area development, microclimate, and potentially phenology. Total growing-season ET<sub>a</sub> in response to warming is affected by variations in ambient growing-season mean air temperature and phenology. The differences in crop  $ET_a$  under varying planting densities are due to their differences in leaf area. The responses of  $ET_a$  to heat stress, mulching, and nitrogen application represent trade-off between their opposite effects on transpiration and evaporation, along with possibly phenology. Modified ET<sub>a</sub> models currently in use can estimate the response of  $ET_a$  to the many aforementioned factors except for  $e[O_3]$ , heat stress, and nitrogen application. These factors offer a blueprint for future research inquiries.

**Plain Language Summary** Evapotranspiration ( $ET_a$ ) describes the net amount of water vapor molecules that are transported from ecosystems to the overlying drier atmosphere per unit ground area per unit time. It is a topic that cuts across agronomy, climate science, hydrometeorology, plant physiology, radiation and energy balances, soil physics, thermodynamics, turbulence, water resources, and many others. Cropland  $ET_a$ pose additional challenges because crop growth is rapid in agroecosystems and physiological function spanning germination, flowering, and biological aging (or senescence) evolves dramatically over a single growing season instead of a decade as in forests. Moreover, crops are and continue to be subjected to many stresses and management practices, and their response to them is reflected through  $ET_a$ . The review here covers the effects of key changing environments (e[CO<sub>2</sub>], e[O<sub>3</sub>], warming), abiotic stresses (water, salinity, heat stresses), management practices (planting density, irrigation methods, mulching, nitrogen supplement) on cropland  $ET_a$ . The focus is on potential causes, quantification methods, and what was found from field studies across crop types, soil, climate, and management practices. Operational formulations for  $ET_a$  as well as measurement techniques in use are also discussed.

#### 1. Introduction

The term evapotranspiration ( $ET_a$ ) represents the combined sum of evaporation from the soil (or water) surface to the atmosphere and the uptake of liquid water by roots that is then transported to the leaves where evaporation occurs to enable carbon dioxide uptake from the atmosphere. Because  $ET_a$  involves a phase transition from liquid water to water vapor within soil pores near the soil-atmosphere interface and in the sub-stomatal cavities of leaves, the review commences with the topic of evaporation for the purposes of defining terminology and identifying key challenges to  $ET_a$ . Thermodynamic textbooks deal with this topic by considering what is termed as a closed system, a system that does not allow mass exchange with the surrounding but allows energy inputs.

Within this closed system, liquid water and the overlying air are considered within a container. Continual loss of water molecules due to external heating of the container saturates the overlying air and an equilibrium state is reached whereby the number of molecules escaping from the liquid phase is balanced by the number of molecules colliding with the liquid surface from the air aloft (condensation). When such an equilibrium is reached, the net rate of water vapor molecules exchanged between water and the overlying air is zero. At this state, the vapor pressure in the air defines the so-called saturation vapor pressure as described by the Clausius-Clapeyron equation (Bolton, 1980), and the air relative humidity (RH) is at 100%. In such cases, the saturation vapor pressure only varies with the equilibrium temperature—that is the overall temperature of the air-water system. A situation such as this may exist in the sub-stomatal cavity when stomatal pores are actually closed. If the same experiment is repeated in what is termed as an open system (i.e., a system with mass and energy exchanges across its boundaries are allowed) and where the atmosphere deviates from saturation (i.e., RH < 100%), water vapor molecules can be transported away from the evaporating site by turbulent eddies (Brutsaert, 1965), and the chance that these escaped water vapor molecules collide again and condense onto the evaporating surface is small compared to a closed system. For this reason, the vapor pressure deficit (VPD) between the surrounding atmosphere and the evaporating surface ensures that the atmosphere has the capacity to accommodate more water vapor molecules escaping from the evaporating surface. Wind can enhance the transfer of these water vapor molecules away from the evaporating surface thereby increasing the atmospheric transfer efficiency or reducing the aerodynamic resistance (Brutsaert, 1982; Campbell & Norman, 1998; Katul & Liu, 2017). This was the basis for some of the early theories on evaporation in the early 1800 (Dalton, 1802) as reviewed elsewhere (McMahon et al., 2016). Interestingly, measurements of evaporation from water surfaces were conducted much earlier as by Edmund Halley in 1686 (Halley, 1687) using evaporation pans—a concept that still in use today.

Moving from a free water surface to a bare soil surface in an open system adds another layer of complexity. When the soil surface is saturated, soil evaporation depends primarily on meteorological conditions (stage I evaporation). In this stage, the ability of the atmosphere to provide the requisite energy and transport water vapor away from the surface dictates the evaporation rate. However, as the soil dries, soil evaporation rate declines with increased time (Brutsaert, 2014; Jury & Horton, 2004; Parlange et al., 1992) and becomes controlled by the ability of underlying soil pores to transmit liquid water to the evaporating surface (stage II evaporation). The physics describing this liquid movement is encoded in the so–called Richards–Richardson equation (Raats & Knight, 2018; L. A. Richards, 1931; Richardson, 1993), whose solution yields evaporation rates decreasing with square root of inverse time. For very dry conditions, the ability of water vapor, produced by evaporation in the deeper soil pores, to diffuse in the air space to the soil surface becomes the limiting rate for evaporation (stage III evaporation). At this stage, the evaporation rate is diminished substantially compared to its stage I counterpart. These three stages have been extensively studied in the soil science literature since the 1950s (H. R. Gardner & Gardner, 1969; W. R. Gardner, 1959) and have informed some of the debate about advantages and disadvantages of soil tillage (Liebhard et al., 2022).

Plant transpiration  $(T_r)$  is another process whereby liquid water also vaporizes inside the plant tissue and enters the atmosphere predominately but not exclusively through stomata (Figure 1). Thus, evaporation and transpiration are thermodynamically equivalent as they both involve the same first-order phase transition as noted earlier. The reason why these two mechanisms are distinguished in ET<sub>a</sub> models is attributed to the differences in liquid pathways they follow before the phase transition. The stomatal aperture is controlled by guard cells and those determine the vapor exchange from the intercellular space of the leaf to the atmosphere (Darwin, 1898). For every water molecule lost to the atmosphere, stomata must adjust their aperture and thus their leaf water potential to lift the entire liquid water column all the way up from the roots (source) to the leaf (sink) by one water molecule to avoid dehydration (Johnson et al., 2022). In doing so, water becomes under tension as it is transported from the soil pores to the roots (mainly dictated by the soil physics of the rhizosphere), then moved in the crop tissues (as described by cohesion-tension theory), and finally lost to the atmosphere thereby setting  $T_{\rm er}$ . This description has a long tradition in soil-plant relations (Bonner, 1959; Dixon & Joly, 1894; Van den Honert, 1948). Only a tiny proportion (<1%) of this water is kept within the plant to sustain the water demands for leaf photosynthesis  $(A_n)$  meaning the water needed to convert carbon dioxide to sugars and oxygen is only a tiny fraction compared to water losses to the desiccating atmosphere when stomata open up to uptake carbon dioxide molecules. This fact means that when crops open their stomata,  $A_n$  and crop leaf transpiration ( $T_{r-\text{leaf}}$ ) occur simultaneously and are conventionally approximated by a Fickian diffusive mass transport given by (Cowan & Troughton, 1971)





Figure 1. Flowchart of the transfer of water from the leaf to atmosphere.

$$A_n = g_c(c_a - c_i),\tag{1}$$

$$T_{r-\text{leaf}} = g_s(e_i - e_a) \approx 1.6g_c \text{VPD},$$
(2)

where  $g_s$  and  $g_c$  is the leaf stomatal conductance to H<sub>2</sub>O and CO<sub>2</sub>, respectively (mol m<sup>-2</sup> leaf area s<sup>-1</sup>) ( $g_s = 1.6$   $g_c$ ),  $c_a$  and  $c_i$  are the ambient and intercellular CO<sub>2</sub> concentrations (µmol mol<sup>-1</sup>),  $e_a$  and  $e_i$  are the ambient and intercellular water vapor concentrations (kPa), and VPD is the vapor pressure deficit (kPa). Hence,  $T_{r-\text{leaf}}$  links crop physiological activities, growth, and reproduction, and  $g_s$  acts as a bridge between the carbon and water cycles. In fact, this bridge can be made explicit when considering the above–ground biomass (B, g m<sup>-2</sup>) of plants given by (F. J. Richards, 1959; Von Bertalanffy, 1957)

$$\frac{dB}{dt} = A_{c,*}P_gL - k_mB,\tag{3}$$

where  $A_{c,*}$  is the amount of photosynthate allocated to *B* (that evolves with the crop growth stage),  $P_g$  is the gross photosynthesis per unit leaf area reduced by photorespiration and synthesis respiration (g C m<sup>-2</sup> leaf area<sup>-1</sup> d<sup>-1</sup>), *L* is the active leaf area (related to *B* depending on crop growth stage), and  $k_m$  is the rate of maintenance respiration plus tissue death (g C g<sup>-1</sup> dry mass d<sup>-1</sup>). This carbon budget reflects the dominant balance between carbon uptake and respiration losses. Because  $P_g$  is proportional to  $A_n$ , and  $A_n$  is proportional to  $g_s$  as before, stomata exert first– order controls on plant growth (Niklas, 1994). The link between  $T_r$  and growth can be summarized by (Mrad et al., 2020)

$$\frac{dB}{dt} = A_{c,*}T_r c_a \frac{1 - c_i/c_a}{1.6\text{VPD}} - k_m B.$$
(4)

Compared to carbon gain,  $T_r$  (plant scale) and  $T_{r\_leaf}$  (leaf scale) are commonly considered a "cost" needed to maintain leaves well hydrated to enable plant physiological activities (Hsiao, 1973). However, in the subsurface, the reverse is true. Plants are required to "invest" assimilated carbon to construct roots that are used to acquire water and nutrients from the soil (Guswa, 2008, 2010). Returning to the stomates, how to maximize carbon gain for a given amount of water in the soil root system may be used as a plausibility conjecture to decide on how stomatal aperture is to be adjusted in time (Cowan & Farquhar, 1977; Hari et al., 1986; Makela et al., 2002; Mrad et al., 2019). While this approach has been extensively studied and recently reviewed (Katul et al., 2012;

Nakad et al., 2023), it has not permeated into the crop literature except for few studies (Qiu & Katul, 2020; Volpe et al., 2011). In some cases,  $T_r$  may be beneficial to plants because of evaporative cooling (Campbell & Norman, 1998; C. Huang et al., 2015; Konrad et al., 2021). Such cooling may avoid leaves suffering from heat injury or decline in photosynthesis due to heat stress (Zahra et al., 2023).

Equation 4 suggests that increasing VPD may lead to a decline in *B*. However, to assess the role of VPD on *B* requires deeper understanding of how  $c_i/c_a$  and transpiration are both impacted by VPD discussed next. At the leaf scale, the response of  $T_{r\_leaf}$  to VPD (Equation 2) is expected to be non–monotonic. While  $g_s$  monotonically declines with increasing VPD, the driving force for transpiration (i.e., Dalton's law) increases with increasing VPD. To illustrate, considering the  $g_s$  represented by (Oren, Sperry, et al., 1999)

$$g_s = g_{\text{ref}} [1 - m \log(\text{VPD})], \tag{5}$$

where  $g_{ref}$  is a reference conductance evaluated at VPD = 1 kPa for optimal temperature, saturating light conditions, and moist soils, with *m* being roughly a constant coefficient that varies between 0.5 and 0.6 (Katul et al., 2009), then a maximum  $T_{r-leaf}$  is expected to occur at a critical VPD (VPD<sub>crit</sub>) given by

$$\text{VPD}_{\text{crit}} = \exp\left(\frac{1-m}{m}\right) \approx 1.94 \text{kPa.}$$
 (6)

For VPD < VPD<sub>crit</sub>, increases in VPD will lead to increases in  $T_{r-\text{leaf}}$ . Conversely, if VPD > VPD<sub>crit</sub>, increases in VPD will lead to a decline in  $T_{r-\text{leaf}}$ . For these reasons, semi-arid areas may experience a decline in  $T_{r-\text{leaf}}$  with increased VPD while humid areas will experience an increase in  $T_{r-\text{leaf}}$  with increasing VPD.

At the whole plant scale,  $T_r$  depends on meteorological conditions (i.e., received energy, VPD, and wind speed), as well as soil moisture, the ability of the soil to conduct water to roots, water logging, soil salinity, crop and soil characteristics, changing environments, management practices, among others (R. G. Allen et al., 1998).

Thus, at the "field–scale," cropland  $\text{ET}_{a}$  consists of  $T_{r}$  and soil (or water in case of paddy rice field) evaporation  $(E_{s})$ . Since  $T_{r}$  and  $E_{s}$  occur simultaneously, it is difficult to distinguish between these two processes from a micrometeorological perspective (i.e., what the atmosphere senses as a source of water vapor) as reported by numerous studies (Z. Hu et al., 2009; Kool et al., 2014; Schlesinger & Jasechko, 2014; Scott et al., 2021). For crops, the proportion of  $T_{r}$  to  $\text{ET}_{a}$  varies during the plant growing season and is mainly controlled by the leaf area index (LAI) or crop canopy coverage. Water is primarily consumed by  $E_{s}$  for small LAI, and by  $T_{r}$  under high LAI when water is sufficient. There are linear and non-linear (logarithmic, exponential, polynomial, etc) correlations between cropland  $T_{r}/\text{ET}_{a}$  and LAI (P. Zhao et al., 2018). Since  $E_{s}$  is not beneficial for crop production (except through some evaporative cooling or reduction in overall VPD), one of the methods to improve crop water productivity in water scarce regions is increasing the fraction of  $T_{r}$  in  $\text{ET}_{a}$  while minimizing  $E_{s}$ . Such an approach may include mulching (placement of material on the soil surface) and micro irrigation (i.e., low–pressure application of water) methods. For instance, the  $T_{r}/\text{ET}_{a}$  for maize increased by 6%–12% under transparent plastic film mulching compared to no mulching (Y. Zhang et al., 2018), and by 5% on average under drip irrigation than border (Y. Wang et al., 2020).

Moving from mass to energy transport (Figure 2), and upon neglecting heat advection, photosynthetic energy consumption, and energy storage by the plant canopy, the  $\lambda ET_a$  (energy form of  $ET_a$ ) over cropland can be determined as a residual in the energy balance (Kimball et al., 1994)

$$\lambda \mathrm{ET}_{\mathrm{a}} = R_n - G_0 - H,\tag{7}$$

where  $R_n$  is the incident net radiation (W m<sup>-2</sup>),  $G_0$  is the surface soil heat flux (W m<sup>-2</sup>), H is the sensible heat flux (W m<sup>-2</sup>), and  $\lambda$  is the latent heat of vaporization (MJ kg<sup>-1</sup>). The  $\lambda$ ET<sub>a</sub> is a primary component of surface energy balance and reflects the energy associated with evaporating water. Terrestrial  $\lambda$ ET<sub>a</sub> consumes about 60%  $R_n$  on average (K. Wang & Dickinson, 2012), while growing–season mean  $\lambda$ ET<sub>a</sub>/ $R_n$  over cropland was higher—0.77–0.86 for rice (B. Liu et al., 2019), 0.77–0.79 for maize (Jiao et al., 2018), 0.64–0.69 for winter wheat (X. Y. Feng et al., 2023), 0.63–0.70 for soybean (Suyker & Verma, 2009), and 0.75–0.76 for cotton (Tian et al., 2017). Advection of sensible heat between a field and its surroundings may occasionally occur in





Figure 2. Soil water balance in the root zone (a) and the energy balance (b) to determine crop evapotranspiration.

cropland so that  $\lambda$ ET<sub>a</sub> becomes larger than available energy ( $R_n$ — $G_0$ ) on certain growing days depending on the size of the field and adjacent land cover (Alfieri et al., 2012; Lei & Yang, 2010; L. Li & Yu, 2007; Qiu et al., 2019; T. Wang et al., 2024). To highlight the frequency of occurrence of such advectiv eevents, we report few cases from field studies. The number of advective days were eight for the early rice season, 30 for the late rice season (B. Liu et al., 2022), and 10 for the summer maize season (Ding et al., 2015). The advection of sensible heat can be identified by negative daytime *H* or the Bowen ratio ( $\beta_o = \frac{H}{\lambda ET_a}$ ) (Kool et al., 2018) and has been reported in a number of studies that sought to generalize combination equations (Katul & Parlange, 1992). This advection provides additional energy to the field, enhancing cropland ET<sub>a</sub>, which can be quantified by the differences between measured ET<sub>a</sub> and equilibrium evaporation (Ding et al., 2015; L. Li & Yu, 2007; McNaughton, 1976; S. Wang et al., 2019). The reported contribution of advection to daily ET<sub>a</sub> was 4.4%–28.0% for maize (Ding et al., 2015), 0.6%–37.7% for rice (B. Liu et al., 2019). Even for an irrigated bare soil, the advection can be severe and contribute some 20% extra daytime evaporation as discussed elsewhere (Parlange and Katul, 1992).

From a mass transport perspective (Figure 2), the ET<sub>a</sub> can be determined based on root-zone soil water balance as

$$ET_a = P + I + \Delta S + CR - D - R,$$
(8)

where *P* is the precipitation amount adjusted by interception losses (mm), *I* is the irrigation amount (mm),  $\Delta S$  is the change of water storage within the root zone (mm), CR is the capillary rise (mm), *D* is the deep percolation (mm), and *R* is the surface runoff (mm). This water balance also reflects the relation between water supply and water demand. The ET<sub>a</sub> is a primary component of the water balance and reflects the dominant water loss, especially in arid and semi–arid regions. The ET<sub>a</sub> accounts for 59%–67% of the terrestrial precipitation (K. Wang & Dickinson, 2012) and about 90%–100% of annual rainfall in arid and semi–arid regions (Katul et al., 2012; Y. Liu et al., 2022). Nearly 70% of total water use worldwide is consumed in agriculture (Kang et al., 2017), where ~99% of agricultural water is lost by cropland ET<sub>a</sub> (Rana & Katerji, 2000). Hence, cropland ET<sub>a</sub> reflects the final consumption of water in agriculture.

In addition, variation of regional cropland  $ET_a$  reflects the changes of the regional agro–ecological environment. The varying vegetation cover and irrigation methods in cropland will lead to differences in mass and energy exchanges between the surface and the atmosphere, which in turn further affect the local climate and atmospheric circulation. For instance, increasing water–saving irrigation has been reported to restrain cooling effects in



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Summary of Recently Published Reviews Concerning Evapotranspiration (ET<sub>a</sub>)

Perspective	Reference
The role of ET <sub>a</sub> in the global, terrestrial, and local water cycles	Katul et al. (2012)
The modeling, climatology, and climatic variability of global terrestrial $ET_a$	K. Wang and Dickinson (2012)
Best practices for measuring ET <sub>a</sub>	R. G. Allen et al. (2011a, 2011b)
ET <sub>a</sub> partitioning methods	Kool et al. (2014); W. Xiao et al. (2018)
Land-scale ET <sub>a</sub> from a boundary-layer meteorology perspective	Cuxart and Boone (2020)
Theoretical origin, basic assumptions, and limitations in major conventional ET <sub>a</sub> approaches	Y. Liu et al. (2022)
Spatiotemporal patterns of global $ET_a$ variations and their relations with vegetation greening	Y. Yang, Roderick, et al. (2023)
Cropland ET <sub>a</sub> in response to changing environments, abiotic stresses, and management practices	This study

Xinjiang, China (C. Zhang et al., 2023). Intensive irrigation in India cools air by some  $0.5^{\circ}$ C but increases the specific humidity thereby resulting in an enhanced moist heat stress (Mishra et al., 2020). Furthermore, ET<sub>a</sub> trends in time appear non–monotonic with projected changes in climate. Unsurprisingly, global terrestrial ET<sub>a</sub> is projected to increase due to warming and increasing precipitation. However, ET<sub>a</sub> is projected to also decrease as a result of limiting soil moisture and elevating atmospheric CO<sub>2</sub> concentration (e[CO<sub>2</sub>]) due to partial stomatal closure (Jung et al., 2010; Pan et al., 2015; Y. Yang, Roderick, et al., 2023). Some studies indicated that marked variations of global crop water demand were primarily driven by climate and e[CO<sub>2</sub>], and these trends increased faster in 1981–2013 (Urban et al., 2017).

Given the significance of  $ET_a$ , there are numerous reviews covering this subject, and detailing all of them is well beyond the scope of this effort. Table 1 summarizes the varying perspectives concerning  $ET_a$  of recently published reviews. There appears to be a recalcitrant gap in covering issues related to cropland  $ET_a$ —given the dynamic nature of agroecosystems (rapid changes in leaf area, nutritional status, plant height, root area, root depth, physiological properties, etc). Cropland  $ET_a$  exhibits high variability due to its fast response to numerous factors (Figure 3), including meteorological conditions (their effect on  $ET_a$  can be expressed using reference evapotranspiration,  $ET_o$ ), changing environments, various abiotic stresses, management practices, crop–specific and soil factors, among others. To date, there is a need to re–examine the primary factors (such as key changing environments, abiotic stresses, and management practices) influencing cropland  $ET_a$  given the proliferation of



**Figure 3.** Factors affecting cropland evapotranspiration ( $ET_a$ ). The effect of meteorological conditions on  $ET_a$  can be expressed by using a reference evapotranspiration (R. G. Allen et al., 1998).





Figure 4. Measurement methods for cropland evapotranspiration (ET<sub>a</sub>). Methods (dark-red font) used to investigate plot or field scale ET<sub>a</sub> in response to varying factors are reviewed.

long-term manipulation experiments, advancements in estimation models, and exponential growth in new and improved measuring methods at multiple spatial and temporal scales.

In this review, the focus is on factors encompassing key changing environments, abiotic stresses, and management practices that impact cropland  $ET_a$ , along with their quantification methods. In Section 2, a brief introduction to the methods employed for measuring  $ET_a$  is provided. These methods are instrumental in analyzing  $ET_a$  in response to the plethora of factors considered in later sections. Sections 3 delves into reported effects of key changing environments (e[CO<sub>2</sub>], elevated ozone concentration (e[O<sub>3</sub>]), global warming), abiotic stresses (water, salinity, heat stresses), management practices (planting density, irrigation methods, mulching, nitrogen (N) supplement) on cropland  $ET_a$ , along with their potential causes and estimation methods. Additionally, other reported considerations are also presented that forms the basis for models. The review concludes by highlighting key themes and suggests further experiment and modeling needs for analyzing the effects of e[O<sub>3</sub>], heat stress, warming, and compound factors on cropland  $ET_a$ . Despite this restricted scope, it is envisaged that such a review of  $ET_a$  provides foundational knowledge for the development of irrigation systems, establishment of crop planting zones, implementation of regional water–saving agriculture practices, efficient assessment of water resources, and effective development, management, and allocation of water resources, among others (R. G. Allen et al., 2011b).

# 2. ET<sub>a</sub> Measurement Methods for Investigating Different Affecting Factors

The  $ET_a$  can be measured by using several methods (Figure 4) such as the hydrological balance, the energy balance at multiple scales (local and satellite space based), several micro–meteorological approaches, and heat transport in plants summarized in Table 2. The merit and demerit of each method and recommendation for proper and best operation have been discussed elsewhere (R. G. Allen et al., 2011a). Only a brief introduction to the



Table 2					
Summary of Evapotranspir	ation $(ET_a)$ Measure	ment Methods			
Method	Spatial scale	Temporal scale	Typical error (%) <sup>a</sup>	Advantage	Disadvantage
Sap flow	$10^{1}$ - $10^{4}$ cm <sup>2</sup>	Seconds to yearly	15-50	Measuring short-term transpiration $(T_r)$ ; separating $T_r$ from ET <sub>a</sub> coupled with other ET <sub>a</sub> measurements	Only measuring individual $T_r$ ; uncertain in scaling up from plants to ecosystem
Weighing Lysimeter	$0.2-40 \text{ m}^2$	Minutes to yearly	5-15	Accurate; long-term automatic continuous measurement	Edge effects; small spatial scale; difficult to measure plants having large spacing
Residual in the energy balance	$4-10^2 \text{ m}^2$	Seconds to yearly	I	Clear principles; relatively accurate $\mathrm{ET}_{\mathrm{a}}$ in plots, such as in the FACE systems	Small spatial scale; requiring accurate determining surface temperature
Surface renewal	Hundreds $m^2$ to several km <sup>2</sup>	Seconds to yearly	I	Relatively inexpensive and easily operated; useful for rough or non-homogenous surfaces	Caution to use in high humidity and wind conditions; requiring high-frequency air temperature measurements
Bowen–ratio and Energy Balance	Hundreds m <sup>2</sup> to several km <sup>2b</sup>	Seconds to yearly	10-20	Accurate; non-destructive; long-term automatic continuous measurement	Large error when Bowen-ratio is near -1; requiring uniform fetch of sufficient distance
Eddy covariance	Hundreds m <sup>2</sup> to several km <sup>2b</sup>	Seconds to yearly	15-30	Accurate; high frequency; non-destructive; long-term automatic continuous measurement	Needing "corrections"; energy balance closure issue; requiring uniform fetch of sufficient distance
Remote sensing energy balance	$10^{1}$ – $10^{4} \rm km^{2}$	Several days to yearly	10-20	Large areas; high spatial resolution	Time gaps to obtain images; satellite pixels over narrow vegetation may overlay
Remote sensing using vegetation indices	$10^{1}$ – $10^{4} \rm km^{2}$	Several days to yearly	15-40	Large areas; high spatial resolution	Relations may vary with type of vegetation; Time gaps to obtain images
(Microwave) Scintillometer	$10^{1}$ – $10^{4} \rm km^{2}$	Seconds to yearly	10–35°	Capture H (and $ET_a$ ) directly over large areas; stable footprints	Expensive; advection effect
Soil water balance	Several m <sup>2</sup> to 10 <sup>4</sup> km <sup>2</sup>	3–15 days to yearly	10–30	Cheap and simple; wide spatial range	Cannot obtain reliable short-term ET <sub>a</sub> ; requiring accurate determining each water balance component
<i>Note.</i> Remote sensing energresponse to varying factors. disadvantages can be found also explain much of the ter were from R. G. Allen et al	gy balance, remote se Surface renewal is a 1 elsewhere (Y. Hu et nperature variance o' L (2011a). <sup>b</sup> The fetch	ming using vege micrometeorologi al., 2018; Paw U ver a 30-min dura r requirement gen	tation indice (cal method t et al., 1995). tion, flux-va ution, flux-va lerally 50–10	s, and (microwave) scintillometer methods measuring $\mathrm{ET}_{\mathrm{a}}$ hat requires high frequency (10 Hz) sampling of air tempera The method seeks to estimate turbulent fluxes from rampling triance methods can also be used to supplement or even cali 00 times the height of the instrument above the zero plane	over 10 km <sup>2</sup> are rarely reported to investigate cropland $\mathrm{ET_a}$ in ture or water vapor and its description as well as advantages and ike patterns in air temperature and water vapor. Because ramps ibrate surface renewal methods (Fischer et al., 2023). <sup>ar</sup> The data displacement. <sup>or</sup> The value is for scintillometer.

measurement methods used to investigate plot and field scale crop  $\text{ET}_{a}$  in response to varying factors is offered. Remote sensing energy balance, remote sensing with vegetation indices, and (microwave) scintillometer methods measuring regional  $\text{ET}_{a}$  (>10 km<sup>2</sup>) are not covered.

#### 2.1. Soil Water Balance Method

For plot–scale experiments, the soil water balance method uses the changes in soil moisture (Equation 8) within the rooting zone to determine crop  $\text{ET}_{a}$ . This method is deemed reasonable on time scales of 3–15 days (R. G. Allen et al., 1998; Qiu et al., 2015a). The key is to determine soil water content, which can be measured by oven–drying method (cheapest). However, for periodical or continuous soil moisture measurements, neutron-probes, time domain reflectometry, time domain transmission, and capacitance–based probes and sensors (Figure 4) have been used (R. G. Allen et al., 2011a). In arid and semi–arid regions, the hydrological components CR, *D*, and *R* for determining plot–scale crop  $\text{ET}_{a}$  on 3–15 days can be ignored. Uncertainty in CR, *D*, and *R* remains high for the soil water balance method in sub–humid and humid regions where there is frequently heavy rain or shallow groundwater.

The soil water balance is common to determine cropland  $ET_a$  under conditions involving water stress (J. L. Chen et al., 2014; Göksoy et al., 2004; Kang, Zhang, Liang, et al., 2002; Karam et al., 2003), salinity stress (Tripler et al., 2011), varying irrigation methods (B. Li et al., 2020, 2021; Patra et al., 2023; T. Zhang et al., 2021), various planting densities (Eberbach & Pala, 2005; Jiang et al., 2014; Sandhu & Irmak, 2019; Y. Zhang et al., 2019), mulching schemes (N. Chen et al., 2021; Fan et al., 2017; J. Wang et al., 2018; Xie et al., 2005), and N application (S. Lenka et al., 2009; Zhong & Shangguan, 2014).

#### 2.2. Weighting Lysimeter

If well managed, weighting lysimeters (Figure 4) provide accurate short–term  $\text{ET}_{a}$  by weighing the entire unit to determine changes in the mass of the lysimeters even on a 30-min basis (Katul & Parlange, 1992). Hence, this method can be used as a baseline for developing, calibrating, and validating other  $\text{ET}_{a}$  methods (R. G. Allen et al., 2011a; Ding et al., 2010). The individual weighting lysimeter (a point measurement) can measure crop  $\text{ET}_{a}$  with surface areas from 0.2 to 40 m<sup>2</sup>. The same vegetation cover grown in the lysimeters must also be planted surrounding the weighting lysimeters to ensure the lysimeter is not experiencing distorted micro–climatic conditions. The precision of measurements by weighting lysimeters ranges from 0.02 to 0.6 mm (R. G. Allen et al., 2011a; Ding et al., 2010; C. Liu et al., 2002). Since large–scale weighting lysimeters are expensive, there are only few studies comparing  $\text{ET}_{a}$  under water stress condition (Gong et al., 2020; M. Liu, Shi, et al., 2022), different cropping systems (Y. Yang, Yang, et al., 2023), and different irrigation methods (Flumignan et al., 2011).

Another design employs the so-called "floating drag plate lysimeter" whereby turbulent stresses and  $ET_a$  are simultaneously measured (Pruitt et al., 1973). This method, when combined with mean water vapor concentration profiles, proved effective in determining the so-called stability correction functions for water vapor within the context of Monin-Obukhov similarity theory (Monin & Obukhov, 1954) even before the weighty Kansas and Minnesota experiments (Kaimal & Wyngaard, 1990) that form the basis of numerous micrometeorology textbooks. A comparison between  $ET_a$  measured by the floating and weighing lysimeters suggest that both approaches can be used on short time scales (<30 min) as presented elsewhere (Katul & Parlange, 1992).

#### 2.3. Sap Flow Method

Measuring sap flow from temperature changes is appealing as the advection of heat is primarily conducted by liquid water movement in plants. This method was originally proposed for trees in the early to mid–1970s (Čermák et al., 1973, 1976)—and in some literature—it is referred to as the Granier sap–flow method (Granier, 1987; Phillips et al., 1996). Several reviews about its utility and limitations have already been presented (Grime et al., 1995; Kjelgaard et al., 1997; Köstner et al., 1998; Smith & Allen, 1996). The method can directly measure short–term  $T_r$  by inserting a low–grade heat source into the plant stem and measuring the water flow in the xylem through either the velocity of a heat pulse or the dissipation of heat energy in the stem (R. G. Allen et al., 2011a). The heat pulse, heat dissipation, and heat balance methods are the three main methods used today. The heat pulse and heat dissipation methods are suitable for measuring  $T_r$  in orchards and forests (Oren, Phillips, et al., 1999), and the heat balance method can be used to determine  $T_r$  in trees with trunk diameter <165 mm and

various crops, such as maize, pepper, tomato, cotton, soybean, rice, and sugarcane (Qiu et al., 2015a; Y. Q. Zhang et al., 2011). The heat balance system, Flow 32–1K system (Figure 4) with micro flow, stem flow, and trunk gages (Dynamax inc., Houston, USA), is commonly adopted to measure  $T_r$  in stems with diameters varying from 2 to 165 mm. When applying the Flow 32–1K system, weather shields are required to avoid variable radiation load that distort the thermal flow regime. Hence, this system can only measure the short–term  $T_r$  of some crops for growing stages when they meet the installation requirement of the sensors.

Since the sap flow method only measures  $T_r$  from individual branches or plants, scaling up to stand level  $T_r$  is required, which introduces errors. Leaf area, cross–sectional area, or planting density are the common factors used to scale up  $T_r$  (Jiang et al., 2016; C. W. Liu et al., 2012; Y. Wang et al., 2021; Y. Q. Zhang et al., 2011) and have received significant attention in forests (Ewers & Oren, 2000; Oren et al., 1998). In addition, the sap flow method is commonly incorporated with micro lysimeters (determining daily  $E_s$ ) to determine daily  $ET_a$  (Jiang et al., 2016; Y. Q. Zhang et al., 2011). The sap flow method coupled with other  $ET_a$  measurements methods such as Bowen–ratio energy balance and eddy covariance methods can be also used to partition  $ET_a$  into  $T_r$  and  $E_s$  (Jiang et al., 2016; Rafi et al., 2019; Williams et al., 2004) provided the variability in the footprint is accommodated (Oishi et al., 2008, 2010).

The sap flow method has been used to compare  $T_r$  under various conditions, such as water stress (Cammalleri et al., 2013; Y. Feng, Cui, et al., 2017; Nguyen et al., 2022; Rousseaux et al., 2009), nitrogen stress (Qiu et al., 2015b), contrasting groundwater table depth (X. Wang et al., 2020), female and male parents of maize for seed production (Jiang et al., 2016), varying irrigation methods (S. Qin et al., 2019), and mulching practices (Y. Zhang et al., 2018).

#### 2.4. Residual in the Energy Balance Method

Residual in the energy balance method (Equation 7) has been commonly reported to determine crop  $ET_a$  in plot experiments due to the small flux fetch available. These small fetch studies cover experiments conducted under e  $[CO_2]$  and  $e[O_3]$  in the Free–Air Concentration Enrichment (FACE) system (Bernacchi et al., 2011; Hussain et al., 2013; Kimball et al., 1994, 1999; Triggs et al., 2004; Vanloocke et al., 2012; Yoshimoto et al., 2005). In this method, the  $R_n$  and  $G_0$  can be directly measured, and the H can be calculated based on bulk heat transport equations as

$$H = \rho_a C_p \frac{T_c - T_a}{r_a},\tag{9}$$

where  $\rho_a$  is the mean air density (kg m<sup>-3</sup>),  $C_p$  is the heat capacity of dry air at constant pressure (J kg<sup>-1</sup> °C<sup>-1</sup>),  $T_c$ and  $T_a$  are the surface and air temperatures (°C), and  $r_a$  is the aerodynamic resistance (s m<sup>-1</sup>) linked to the eddy diffusivity for heat  $K_{t,h}$  using  $r_a = \int_{z_s}^{z_r} \frac{dz}{K_{t,h}(z)}$ , where  $z_s$  is related to the heat roughness length above the zero plane displacement  $d_o$  of the crop (m) and  $z_r$  is the measurement height associated with  $T_a$  (m). In a neutrally stratified atmospheric surface layer,  $K_{t,h} = \kappa (z - d_o) u_*$ , where  $\kappa = 0.4$  is the von Karman constant, z is the vertical distance from the ground (m), and  $u_*$  (m s<sup>-1</sup>) is the friction velocity that can be linked to the mean velocity at  $z_r$ using the log–law or the law–of–the wall (Brutsaert, 1982). The  $T_c$ , a key variable for this method, is commonly measured by infrared radiometers, which require calibration before each growing season (Triggs et al., 2004).

#### 2.5. Bowen-Ratio Energy Balance (BREB) and Eddy Covariance (EC) Methods

While the Bowen ratio energy balance (BREB) method (Figure 4) was initially derived and used for lake evaporation studies (Bowen, 1926; Lewis, 1995), it has proliferated in the crop-water requirement communities along with the eddy-covariance (EC) method (Figure 4) introduced some 20 years after the BREB (Barrett & Suomi, 1949; Montgomery, 1948; Swinbank, 1951). In the BREB method,

$$\lambda \text{ET}_{a} = \frac{R_{n} - G}{1 + \beta_{o}},\tag{10}$$

where  $\beta_o = \frac{H}{\lambda E T_a} \neq -1$  is the Bowen ratio determined from mean air temperature and mean water vapor concentration measurements at two heights above the canopy assuming the eddy diffusivity for heat is identical to the



eddy diffusivity for water vapor. The mean here reflects averaging intervals over 0.5 hr or some appropriate time scale separating turbulence from meso-scale motion. Typical values for the Bowen ratio across a gradient in aridity are  $\beta_o > 3$  over semiarid regions,  $\beta_o \sim 0.5$  over grasslands and forests,  $\beta_o \sim 0.2$  over irrigated orchards or grass,  $\beta_o \sim 0.1$  over large open water bodies. A  $\beta_o < 0$  is commonly associated with advection of hot and dry air into the study area so that  $\lambda ET_a$  can exceed the available energy provided by  $R_n - G_0$  (Katul & Parlange, 1992).

The EC system estimates

$$ET_{a} = \lambda \overline{w'q'}, \tag{11}$$

where w' and q' are the vertical velocity and water vapor concentration fluctuation, and overline is time averaging (typically over 0.5 hr as in the BREB). The EC system requires sensors that can detect at very high frequency (usually 10 Hz) the turbulent contributions of vertical velocity and water vapor concentration to the covariance  $\overline{w'q'}$ .

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The key assumption to interpreting the BREB and EC measured  $\lambda$ ET<sub>a</sub> is that the flow over the study area must be stationary (i.e., a flow whose statistics are steady), planar homogeneous (i.e., a flow whose statistics do not vary appreciably in the plane paralleling the ground), high Reynolds number (i.e., a flow where turbulent transport is far more efficient than molecular transport), and lacking any subsidence (i.e., the mean vertical velocity is negligible). These conditions require that the air flow attains a certain equilibrium with the underlying surface and the adjustment distance as the flow encounters the target area is small compared to the overall study area. For these reasons, BREB and EC systems measure uniform crop ET<sub>a</sub> in fields with uniform planar areas from hundreds of m<sup>2</sup> to several km<sup>2</sup>, depending on the height of the upper sensors for temperature and humidity in the BREB system or the CO<sub>2</sub>/H<sub>2</sub>O measurement sensors in the EC system (Allen et al., 2011a). Another challenge is the positioning of sensors too close to the canopy top for the BREB. Because the upper sensors are restricted by footprint considerations and gradient measurements require large signal–to–instrument noise ratio, these requirements may necessitate the placement of lower–level sensors near the canopy top. This placement is problematic because the assumption that heat and water vapor eddy diffusivities are the same breaks down (i.e.,  $K_{t,h} \neq K_{t,q}$  the water vapor eddy diffusivity) in the canopy roughness sublayer (Garratt & Hicks, 1973; Harman & Finnigan, 2008; Zahn et al., 2016).

The BREB (D. Yang et al., 2020, 2023) and EC systems (S. Qin et al., 2016; S. Qin, Fan, et al., 2023; Y. Wang et al., 2020) have been used extensively to explore how irrigation methods impact  $ET_a$  over maize and wheat fields. Other studies (Reavis et al., 2021) used two EC systems to compare rice  $ET_a$  under alternate wetting and drying and delayed flood irrigation regimes. Measurement of  $ET_a$  over both flooded and aerobic rice fields (1 km apart) was also carried out by using only one portable EC system rotated from one site to another every week (Alberto et al., 2011). These studies provide a comparison of field–scale  $ET_a$  under different irrigation management conditions. However, the high cost of BREB and EC systems and the large fetch requirement restricts their replication.

To overcome the limited fetch requirement, one method that is gaining some traction is the so-called surface renewal method (Paw U et al., 1995). In this method, high frequency (usually 10 Hz) time series of air temperature is used accompanied by a ramp detection scheme (Fischer et al., 2023). Because ramp-like patterns are responsible for much of the heat flux-bearing events, especially in the roughness sublayer just above the canopy, estimating the mean ramp slope enables the determination of the heat source over some averaging interval (30 min or so). Upon integrating the heat source with respect to height (or eddy penetration depth) yields the sensible heat flux. This estimate may then be used in conjunction with the surface energy balance to compute  $ET_{a.}$  Some success using this method was reported in many agricultural crops as well as screenhouses, where fetch was quite restricted (Rosa et al., 2013). Comparisons between this method and a simpler flux-variance method based on similarity theory (Albertson et al., 1995; Tillman, 1972) seem to indicate that both methods can reproduce sensible heat flux reasonably. This agreement is partly due to the fact that the flux-bearing ramps also contribute most to the overall variance of air temperature (Katul et al., 1996). Both methods also do not require any measurements of velocity statistics, only high frequency temperature.

# 3. Primary Factors Affecting Cropland ET<sub>a</sub>

Cropland  $\text{ET}_{a}$  is affected by the meteorological conditions (radiation,  $\text{T}_{a}$ , RH, and wind speed), changing environments (e.g., e[CO<sub>2</sub>], e[O<sub>3</sub>], global warming), various abiotic stresses (e.g., water, salinity, heat stresses, waterlogging), management practices (e.g., planting density, mulching, irrigation method, fertilizers application, control of diseases and pests, soil management), underlaying surface (e.g., geography, soil types), and cropspecific factors (e.g., crop type, variety, and development stages) as discussed elsewhere (R. G. Allen et al., 1998). The effect of meteorological conditions on  $\text{ET}_{a}$  can be surrogated to a reference evapotranspiration (R. G. Allen et al., 1998), where soil type and hydroclimatic conditions for the reference crop resemble those of the target crop. By using the same reference crop across different regions allows for a systematic comparison of how soil type and hydroclimatic conditions as well as changing environmental conditions impact reference evapotranspiration much the same way pan evaporation was used to guestimate potential evaporation across different climatic conditions. Here, the focus is mainly on reviewing the impacts of key changing environments (e[CO<sub>2</sub>], e[O<sub>3</sub>], and global warming), abiotic stresses (water, salinity, and heat), and management practices (planting density, mulching, irrigation method, and N application) on cropland ET<sub>a</sub> (Figure 3), as discussed below. In addition, other reported considerations are also presented or reviewed.

#### 3.1. Effects of Changing Environments

#### 3.1.1. e[CO<sub>2</sub>]

Atmospheric CO<sub>2</sub> concentration has been increasing from about 280 ppm in 1750 to 410 ppm in 2019 (IPCC, 2021), and is projected to be about 800 ppm in the 2071–2100 (Y. T. Yang et al., 2019). Although some studies reported unchanged (Kimball et al., 1994; Wei et al., 2022) and positive (Wei et al., 2021) effect of  $e[CO_2]$  for crop ET<sub>a</sub>, the majority of studies report a negative effect (reduction of 2%–22%) depending on crop species and levels of  $e[CO_2]$  (Bernacchi et al., 2006; Hussain et al., 2013; Kang, Zhang, Hu, & Zhang, 2002; Kimball et al., 1999; F. S. Li et al., 2004; Triggs et al., 2004; Yoshimoto et al., 2005) as summarized in Table 3.

This variability of responses of ET<sub>a</sub> to  $e[CO_2]$  is due to the adverse effect of  $e[CO_2]$  on  $g_s$  at the leaf level, but a positive effect on leaf area, root biomass, and other hydroclimatic conditions (Figure 5a). (a) The  $e[CO_2]$  on  $g_e$  is much more studied and a number of reviews have already been offered documenting its magnitude. A metaanalysis showed that  $e[CO_2]$  reduced  $g_s$  by 26%–30% for C<sub>3</sub> and C<sub>4</sub> crops (Ainsworth & Rogers, 2007). The main mechanism for this reduction is conventionally (Larcher, 2003) attributed to an increase in carbonic acid  $(CO_2 + H_2O = H_2CO_3)$  within the sap of guard cells because of  $e[CO_2]$ . Increases in carbonic acid are accompanied by a reduction in pH, which then favors production of starch instead of sucrose as products of A<sub>n</sub>. Starch is a less efficient outcome for biomass production because enzymes must expend more energy at its conversion to biomass compared to sugars. Hence, from a leaf level perspective, a reduction in  $g_s$  due to  $e[CO_2]$ leads to a reduction in  $T_{r-leaf}$ , which in turn, decreases  $ET_{a}$ . (b) However,  $e[CO_2]$  also accelerates the growth and maximum leaf area of crops because of increased  $A_n$ , which in turn, increases overall  $T_r$  despite a reduction of  $T_{r_{-}}$  Moreover, increasing leaf area directly reduces the incident radiation load and aerodynamic conductance at the soil surface thereby reducing  $E_s$  (F. S. Li et al., 2004; X. J. Li et al., 2018). (c) The enhanced root growth because of dB/dt also promotes root water uptake for e[CO<sub>2</sub>]. A number of studies report root biomass increases of 43%, 22%, and 33%, respectively, for spring wheat, maize, and cotton when  $e[CO_2]$  reached 300 µmol mol<sup>-1</sup> above ambient (Kang, Zhang, Hu, & Zhang, 2002). (d) Moreover, partial stomatal closure induced by e[CO<sub>2</sub>] also reduced evaporative cooling, which results in a warmer canopy temperature and lower RH, in turn leading to higher leaf-to-air VPD. This leads to an increased driving force for ET<sub>a</sub>, hence compensating for the reduction of g<sub>s</sub> induced by e[CO<sub>2</sub>] (Hussain et al., 2013; Triggs et al., 2004; Yoshimoto et al., 2005). For instance, higher canopy temperature under  $e[CO_2]$  was observed for rice (daily 0.2-1.0°C) (Yoshimoto et al., 2005), maize (midday 0.2–0.7°C; daytime 0.5–0.6°C) (Hussain et al., 2013), sorghum (midday 1.47–1.85°C) (Triggs et al., 2004), and potato canopy (daytime 0.6-0.9°C) (Magliulo et al., 2003) in FACE experiments where crop phenology was not markedly affected by e[CO<sub>2</sub>] (C. Cai et al., 2016).

The effect of various stresses on  $g_s$  can be estimated based on a Jarvis type multiplicative function (Jarvis, 1976; B. Z. Zhang et al., 2008)

$$g_s = g_{\rm smax} \prod f(X_i), \tag{12}$$



#### Table 3

The Effect of Elevated Atmospheric CO<sub>2</sub> Concentration (e[CO<sub>2</sub>]) on Total Growing–Season Crop Evapotranspiration

Facility	Study area	Crop type	Percentage change relative to ambient (%)	$e[CO_2]$ (µmol mol <sup>-1</sup> )	Source
FACE	Braunschweig, Germany	Barley $(C_3)$	-9	170	Burkart et al. (2011)
		Sugar beet $(C_3)$	-18	170	
		Wheat $(C_3)$	-12	170	
	Champaign-Urbana, USA	Soybean (C <sub>3</sub> )	-9 to -16	175	Bernacchi et al. (2006)
	Rapolano Terme, Italy	Potato (C <sub>3</sub> )	-12	185	Magliulo et al. (2003)
	Champaign-Urbana, USA	Maize (C <sub>4</sub> )	−7 to −11	200	Hussain et al. (2013)
	Maricop, USA	Wheat (C <sub>3</sub> )	-7	200	Kimball et al. (1999)
	Maricop, USA	Sorghum (C <sub>4</sub> )	-12 to -14	200	Triggs et al. (2004)
	Iwate, Japan	Rice $(C_3)$	-8	200	Yoshimoto et al. (2005)
	Maricop, USA	Cotton (C <sub>3</sub> )	NS	280	Kimball et al. (1994)
OTC	Bhopal, India	Wheat (C <sub>3</sub> )	-2	150	N. K. Lenka et al. (2021)
Chambers	Yangling, China	Spring wheat $(C_3)$	-17	300	Kang, Zhang, Hu, and Zhang (2002)
		Maize (C <sub>4</sub> )	-22	300	
		Cotton (C <sub>3</sub> )	-6	300	
	-	Spring wheat (C <sub>3</sub> )	-4	350	F. S. Li et al. (2004)
	-	Soybean (C <sub>3</sub> )	-9	350	L. H. Allen et al. (2003)
Climate-controlled greenhouse	Yangling, China	Barley (C <sub>3</sub> )	+8	400	Wei et al. (2021, 2022)
		Maize (C <sub>4</sub> )	NS	400	
		Tomato (C <sub>3</sub> )	NS	400	
Climatic phytotron	Wuwei, China	Maize (C <sub>4</sub> )	-13	150, 300, and 500	X. J. Li et al. (2018)

Note. The FACE and OTC stand for Free-Air CO<sub>2</sub> Enrichment and Open Top Chambers, respectively. NS, no significant difference.

where  $g_{s \max}$  is the maximum  $g_s$  under optimal conditions (m s<sup>-1</sup>);  $X_i$  is a specific restricting variable introducing deviations from optimal conditions such as irradiance,  $T_a$ , VPD, water and salinity stresses, e[CO<sub>2</sub>], and e[O<sub>3</sub>];  $f(X_i)$  is a restricted function of  $X_i$  bounded between [0, 1]. The reported forms of  $f(CO_2)$  including linear or hyperbolic types are summarized in Table 4, which should be tested before being employed for a specific crop. The Jarvis function considering e[CO<sub>2</sub>] can then be incorporated into a Penman–Monteith model to estimate the effect of e[CO<sub>2</sub>] on ET<sub>a</sub> (X. J. Li et al., 2019; Pan et al., 2015; Y. T. Yang et al., 2019).

#### 3.1.2. e[O<sub>3</sub>]

Ozone is an air pollutant restricting crop growth and food production (Ainsworth, 2008; Z. Z. Feng & Kobayashi, 2009). Its impact on food security has been studied extensively using climate models (Chameides et al., 1994). The global annual mean surface  $O_3$  concentrations ([ $O_3$ ]) in the Northern Hemisphere have increased from 10 to 15 ppb in 1850 to ~50 ppb at present (Cooper et al., 2014; Z. Z. Feng et al., 2022), and is projected to increase globally by up to 5 ppb in 2100 using the RCP 8.5 scenario (Turnock et al., 2020).

Ozone diffuses into leaves through stomata, and because of its oxidizing power, it damages the ability of the plant to regulate its guard cells. For this reason, patches of stomates exposed to  $e[O_3]$  lose their ability to close stomates and ultimately experience desiccation at those and hydraulically connected locations to them. Hence, the leaf  $g_s$  will decline because the number of "active" stomatal sites declines on a given leaf with time.

There are limited studies on crop (mainly for soybean)  $ET_a$  in response to  $e[O_3]$  and those studies uniformly document the adverse effect of  $e[O_3]$  on crop  $ET_a$ . Total growing–season  $ET_a$  of soybean has been reported to be reduced by 28% under  $e[O_3]$  of 60–75 ppb in Open Top Chambers (OTC) experiments (Booker et al., 2004; Bou Jaudé et al., 2008). It was reported to decrease by 11%–13% with  $e[O_3]$  of 22%–37% above background (46–68 ppb) in the four of five growing seasons for soybean in a FACE experiment (Bernacchi et al., 2011). Total



**Figure 5.** The main pathways detailing how elevated  $CO_2$  concentration (e[CO<sub>2</sub>]) (a) and elevated ozone concentration (e[O<sub>3</sub>]) (b) impact crop evapotranspiration (ET<sub>a</sub>). The  $g_s$  is the leaf stomatal conductance to H<sub>2</sub>O,  $A_n$  is the net photosynthesis,  $T_{r-\text{leaf}}$  is the leaf transpiration,  $E_s$  is the soil evaporation,  $T_L$  is the leaf temperature, VPD<sub>L</sub> is the leaf-to-air vapor pressure deficit. (+) and (-) indicate positive and negative effects on crop ET<sub>a</sub>. Upper and lower arrows show increase and decline.

growing–season  $\text{ET}_{a}$  of soybean also linearly decreased as  $e[O_3]$  increased with the highest  $[O_3]$  treatment (116 ppb) reducing  $\text{ET}_{a}$  by 26% with respect to  $[O_3]$  of 40 ppb treatment (Vanloocke et al., 2012).

This reduction of  $\text{ET}_a$  caused by  $e[O_3]$  may be due to its negative effect on  $g_s$ , root and leaf development and phenology, despite increasing driving force (Figure 5b). (a)  $e[O_3]$  lowers  $g_s$  thereby reducing  $T_{r-\text{leaf}}$ . In a meta-analysis, it was shown that  $g_s$  was reduced by 23% for rice with mean  $[O_3]$  of 62 ppb (Ainsworth, 2008), by 22% for wheat when mean  $[O_3]$  was 79 ppb (Z. Z. Feng et al., 2008), and by 17% for soybean when  $[O_3]$  was 30–120

Table 4

Summary for Reported Leaf Stomatal Conductance  $(g_s)$  Functions in Response to Elevated  $CO_2$  Concentration  $(f(CO_2))$  in the Jarvis Model

Functions	Туре	Sources
$f(\rm{CO}_2) = -0.001\rm{CO}_2 + 1.35$	Linear model	Pan et al. (2015)
$f(\text{CO}_2) = 1 - 0.4(\text{CO}_2/330 - 1)$	Linear model	Easterling et al. (1992); Y. Wu et al. (2012)
$f(\text{CO}_2) = 1 - (1 - a_3)(\text{CO}_2/350 - 1)$	Linear model	Medlyn et al. (2001)
$f(\mathrm{CO}_2) = \frac{1}{1 + \mathrm{CO}_2/C_{so}}$	Hyperbolic model	J. L. Wang et al. (2005)
$f(\text{CO}_2) = \frac{1}{1 + a_0(\text{CO}_2/330 - 1)}$	Hyperbolic model	X. J. Li et al. (2019)
$f(\text{CO}_2) = a_1 \frac{1}{1 + a_2(\text{CO}_2 - 300)}$	Hyperbolic model	Y. T. Yang et al. (2019)

*Note.* The  $C_{so}$  is an empirical parameter (=305 µmol mol<sup>-1</sup> based on pooled data from the literature (X. J. Li et al., 2019));  $a_0-a_3$  are empirical parameters.

ppb (Morgan et al., 2003). (b)  $e[O_3]$  also limit root biomass, which was reduced by 35% for rice, 27% for wheat, and 21% for soybean (Z. Z. Feng et al., 2008; Morgan et al., 2003; Shang et al., 2022), limiting the ability of roots to uptake water. (c) The  $O_3$  exposure can induce visible leaf injury (Vandermeiren et al., 2005), which can be up to >80% reduction in green leaf area for wheat and soybean (Booker, 2004; Z. Z. Feng et al., 2008; Morgan et al., 2003). (d) The reduced leaf area, especially green leaf, under  $e[O_3]$  may be another explanation, which will enhance reductions in  $T_r$ , although some crops such as wheat were not significantly affected. Leaf area was reported to be 8% lower under  $e[O_3]$  in rice (Ainsworth, 2008). Likewise,  $e[O_3]$  reduced the total leaf area of soybean by ~10%, the number of leaves per plant by 5%, and especially the green leaf area by 32% (Morgan et al., 2003). (e)  $e[O_3]$  accelerates leaf senescence (Morgan et al., 2006) and shortened the growth period. Some studies reported a growth period that is 4% shorten for wheat (Z. Z. Feng et al., 2008) in  $e[O_3]$ , leading to a low total growing–season  $ET_a$ . (f) Exposure to  $e[O_3]$  also resulted in warmer canopies and lower humidity and therefore higher VPD, which may partially offset the reduced  $ET_a$  induced by  $e[O_3]$ . FACE experiments showed that midday values of canopy temperature were more than 2°C warmer for the highest  $[O_3]$  treatment (116 ppb) than the lowest (40 ppb) (Vanloocke et al., 2012).

The  $g_s$  in response to  $e[O_3]$  has been quantified using a modified Jarvis model as in Equation 12. This approach remains the workhorse formulation for determining the stomatal  $O_3$  flux at present (Azuchi et al., 2014; Mills et al., 2011; Shang et al., 2021) and is written as

$$g_s = g_{s \max} \times \min(f(\text{phen}), f(O_3)) \times f(R_s) \times \max\{g_{s \min}, (f(T_a) \times f(\text{VPD}) \times f(\theta))\},$$
(13)

where  $g_{smin}$  is the minimum  $g_s$ ; f(phen),  $f(R_s)$ ,  $f(T_a)$ , f(VPD),  $f(\theta)$ , and  $f(O_3)$  represent the restricted functions of  $g_s$ in  $g_{smax}$  that are related to the effects of phenology, irradiance,  $T_a$ , VPD, soil water potential, plant water potential, or available soil water content, and  $[O_3]$ , respectively. As before, all these functions are bounded between [0, 1]. These response functions can be generally parameterized based on a boundary line analysis (Y. S. Xu et al., 2021). Further details on f(phen),  $f(R_s)$ ,  $f(T_a)$ , and f(VPD) calculations are provided elsewhere (E. Z. Hu et al., 2015). This response of  $g_s$  to  $e[O_3]$  may be used for estimating  $\text{ET}_a$  under  $e[O_3]$  in a Penman–Monteith model but is rarely investigated until recently.

#### 3.1.3. Global Warming

Compared to 1850–1900, global surface temperature has increased by 0.95–1.20°C in 2011–2020, and is projected to be even higher by 1.0–5.7°C in 2081–2100 (IPCC, 2021). Furthermore, greater increment in daily minimum temperature than maximum was noted over the last 50 years (Peng et al., 2013). These varying types of warming should have variable effect on crop  $ET_a$ . The daily  $ET_a$  in response to warming is affected by canopy coverage. With increase in  $T_a$ , daily  $ET_a$  almost always increases linearly under low canopy coverage ( $E_s$  dominant), while it increases rapidly at high canopy coverage ( $T_r$  dominant) until  $T_a$  reaches a critical value (related to optimal plant growth  $T_a$ ), followed by gradually decreases (Qiu et al., 2021). The dynamics of canopy coverage is projected to be slower, faster, or experiencing small variations under varying types of warming compared to ambient conditions depending on ambient total growing–season average  $T_a$  and its deviation (Qiu et al., 2021). A FACE experiment also observed that LAI of rice under +2.0°C all–day warming was reduced by 10%–24% for four growing stages in a warm season. However, this warming did not have marked effect in a cool season (C. Cai et al., 2016).

Total growing–season ET<sub>a</sub> in response to global warming is largely affected by variations in phenology and ambient growing–season average  $T_a$ . Growing season of rice have been observed to be shortened by 1–5 days for all–day warming of 1.4–2.1°C (C. Cai et al., 2016; Dong et al., 2011; W. L. Wang et al., 2018), 0–3 days for day–time warming by 1.1°C and night–time warming by 0.5–1.8°C (J. Chen et al., 2017; Dong et al., 2011). In addition, it is projected to be shortened by 0–23 days for varying types of warming (i.e., all–day, day–time, night–time, and asymmetric warming) by 1.0–3.0°C for cool season, while prolonged by 0–4 days for warm seasons (Qiu et al., 2021). Furthermore, the growth duration is closely related with growing season averaged  $T_a$ . An increment of 1°C for growing–season average  $T_a$  leads to a shortened growth duration of 4–5 days (P. L. Lu et al., 2008). A negative relation was also found between the growth duration and growing–season average  $T_a$  (T. Y. Zhang

et al., 2013), and greater warming level resulted in higher temperature sensitivity to changed growth season (Qiu et al., 2021).

Combined with warming induced variations in growth duration and direct effects on  $ET_a$ , inconsistent responses of total growing–season  $ET_a$  are reported across studies. The changes in total growing–season  $ET_a$  of rice were projected to be within the range of -18.2%-5.6% for all–day warming by  $1\sim3^\circ$ C using crop models (Asseng et al., 2004; Tao et al., 2008), and -60.1 to 16.5, -29.7 to 11.6, -40.2 to 5.3, and -50.6 to 10.8 mm, respectively, for all–day, day–time, night–time, and asymmetric warming by  $1\sim3^\circ$ C using a modified Priestley–Taylor model (Qiu et al., 2021). In addition, with the increase in ambient growing–season average  $T_a$ , the total growing–season  $ET_a$  of rice increased linearly (for night–time warming) or parabolically (for all–day, day–time, and asymmetric warming) under a preset level of warming, whereas it decreased linearly or parabolically when not considering changes in phenology (Qiu et al., 2021). The total growing–season  $ET_a$  of wheat was also projected to increase by 18 mm under all–day warming of  $3^\circ$ C, whereas it is reduced if considering a 13 days shorter growing duration (Asseng et al., 2004). These results suggest that phenology plays a leading role in assessing the effects of warming on total growing–season  $ET_a$ .

The effect of warming on ET<sub>a</sub> at large scales was generally assessed using crop models (Asseng et al., 2004; Kim et al., 2013; Tao et al., 2008). However, these models are running on daily time–scales and can only interrogate the effect of all–day warming on ET<sub>a</sub>. A dynamic Priestley–Taylor model that can estimate the effect of all–day, daytime, nighttime, and asymmetric warming on crop ET<sub>a</sub> have been proposed recently (Qiu et al., 2021). This revised Priestley–Taylor model introduces a plant temperature constraint on  $T_r$ , adopts a function based on canopy coverage instead of LAI to partition the absorbed energy between the canopy and water (or soil) surface, and employs Wang–Engel curvilinear temperature response function to calculate accumulated thermal time, which affects phenology and subsequent development of canopy coverage. During global warming (such as +1.5°C and +2°C warmer) experiments, the likelihood of crops (especially rice) experiencing heat stress may increase. Whether this revised Priestley–Taylor model adopting such simplified temperature response function to assess crop ET<sub>a</sub> under combined warming and heat stress still requires further investigation.

#### 3.2. Effects of Abiotic Stresses

#### 3.2.1. Water Stress

Water (or drought) stress is one of the main limitations affecting  $ET_a$  and crop production. Future warming will cause more frequent and intense water (or drought) stress events. Across arid and semi–arid regions, the frequency of an agricultural and ecological drought event that occurred once in 10 years on average will likely occur 2.4 times in 10 years for a future with a +2.0°C warming level (compared to 1850–1900). The increase in intensity of +0.6sd (sd: standard deviation) dryer is also projected to occur (IPCC, 2021). Even in humid regions, seasonal droughts are occasionally occurring as documented by the intense summer droughts in the middle and lower reaches of Changjiang River of China in 1978, 2001, 2011, and 2022 (Y. Liu et al., 2023; Z. Song et al., 2020).

Water stress generally results in lower total growing–season  $\text{ET}_{a}$ . For instance, deficit irrigation (56% of full irrigation each time) had 17%–23% lower total growing–season  $\text{ET}_{a}$  for greenhouse–grown tomato than full irrigation as measured from weighing lysimeters (Gong et al., 2020). Total growing–season  $\text{ET}_{a}$  of maize was also reduced by 33% under water stress (60% of full irrigation each time) compared to full irrigation (Karam et al., 2003).

Generally, severe water stress and its occurrence at key growing period leads to substantial reduction of total growing–season  $ET_a$ . For instance, lower total growing–season  $ET_a$  for greenhouse–grown pepper induced by water stress have been reported at early fruit bearing and harvesting stage (27% and 12%, respectively, for receiving 1/3 and 2/3 irrigation amount of full irrigation) than at flowering and fruit setting stage (9% and 5%, respectively). Similar reductions were reported at the late fruit bearing and harvesting stages (19% and 7%, respectively) in the 2009–2010 season (H. Yang et al., 2016). Some studies (Karam et al., 2007) showed that water stress reduced more total growing–season  $ET_a$  of sunflower at early and middle flowering stages (22% and 16% respectively) than at early seed formation stage (9%). Total growing–season  $ET_a$  of greenhouse–grown tomato had greater reduction under water stress at fruit ripening stage (25% and 14%, respectively, for receiving 1/3 and 2/3 irrigation amount of full irrigation) than at flowering and fruit development stage (16% and 4%, respectively) (J. L. Chen et al., 2014).





**Figure 6.** The main pathways of water (a) and salinity (b) stresses on crop evapotranspiration (ET<sub>a</sub>). The  $g_s$  is the leaf stomatal conductance to H<sub>2</sub>O,  $A_n$  is the net photosynthesis,  $T_{r-\text{leaf}}$  is the leaf transpiration,  $E_s$  is the soil evaporation, T<sub>L</sub> is the leaf temperature, VPD<sub>L</sub> is the leaf-to-air vapor pressure deficit. (+) and (-) indicate positive and negative effects on crop evapotranspiration. Upper and lower arrows show increasement and decline.

The response of  $ET_a$  to water stress has been studied through its adverse effects on root water uptake,  $g_s$ , xylem hydraulic conductivity, leaf area, root growth, despite increasing VPD (Figure 6a). (a) When suffering from water stress, the plant is experiencing a soil water potential that is low. Hence, to pull water from drying soils all the way up to the leaf, the plant has to perform more work by lowering further its leaf water potential. There are three main "bottlenecks" when leaf water potential is low (Manzoni et al., 2013): The first is in the rhizosphere-where the main restriction to water flow is due to reduced soil-root conductivity (Manoli et al., 2014; Siqueira et al., 2008). The second restriction is associated with loss of xylem hydraulic conductance. Water under tension is in a metastable state and is prone to embolism (bubble formation) and subsequent cavitation spread (air spreading within the xylem network). Once enough conduits are filled with air, the overall xylem hydraulic conductance is reduced and the ability of the plant to deliver water to the leaf is impaired (J. Liu et al., 2020; Mrad et al., 2018). Last, low leaf water potential can also lead to direct reductions in  $g_s$  (Damour et al., 2010; Manzoni et al., 2011; X. Song et al., 2020), and further amplify non-stomatal limitations such as loss of mesophyll conductance (Dewar et al., 2018; Qiu & Katul, 2020), or phloem failure (Jensen et al., 2016; Konrad et al., 2019; Nakad et al., 2022). These non-stomatal limitations have been recently modeled to explain differing functional traits using a multiscale optimality framework where both short-term limitations and long-term effects of those limitations are coupled (Matthews et al., 2024). The leaf water potential has been reported to decrease from -1.1 MPa in sufficient irrigation areas to -1.6 MPa in severe water deficit (1/3 of sufficient irrigation) (Tezara et al., 2002).

Stem-specific hydraulic conductivity under varying water stress levels was reported to be reduced ranging from 14% (75%–55% field water capacity) to 30% (65%–45% field water capacity) (J. Liu et al., 2020). The  $g_s$  of sunflower decreased from 0.8 mmol  $m^{-2} s^{-1}$  in full irrigation to 0.1 mmol  $m^{-2} s^{-1}$  in mild water deficit and to  $0.05 \text{ mmol m}^{-2} \text{ s}^{-1}$  to severe water deficit (Tezara et al., 2002). The mild and severe water deficit also had 57% and 86% lower middle-day g<sub>s</sub> of maize than full irrigation (Kang, Zhang, Hu, & Zhang, 2002). (b) Long-term water stress also restricts leaf expansion and tillering, forms small and succulent leaves, thereby reduces leaf area, which then reduces the canopy transpiration (Farooq et al., 2009), but may increase  $E_s$  rates just after irrigation. The maximum LAI have been reported to be 7%-29% lower in aerobic rice fields than flooded fields (Alberto et al., 2011), 17%-29% lower for greenhouse-grown tomato under mid water deficit than full irrigation (Gong et al., 2020), 25% lower for maize under water deficit (60% of full irrigation) than control (Karam et al., 2003). (c) Root activity and growth are also affected by long-term water stress further limiting root water uptake. A meta-analysis showed that although water stress increased root hair density and root hair length by 49.4% and 35.8%, it reduced root dry weight and root length by 21.9% and 19.8% (Kou et al., 2022). (d) Similar to the effect of  $e[O_3]$ , water stress leads to warmer canopies and higher VPD, partially offsetting the decreased  $ET_a$ induced by water stress. The average T<sub>a</sub> increased by 0.2°C while average RH was 3.1% lower, resulting in 0.12 kPa greater VPD over the entire growing season of aerobic rice fields compared to flooded ones (Alberto et al., 2009).

The effect of water stress on  $g_s$  can also be described by a modified Jarvis model by introducing a function for water stress  $f(\theta)$  in Equation 12, as

For paddy rice (J. Z. Xu et al., 2017)

$$f(\theta) = (\theta - \theta_W) / (\theta_S - \theta_W), \tag{14a}$$

For other crops (B. Z. Zhang et al., 2008)

$$f(\theta) = (\theta - \theta_W) / (\theta_F - \theta_W), \tag{14b}$$

where  $\theta$  is the actual root-zone soil moisture content (cm<sup>3</sup> cm<sup>-3</sup>);  $\theta_S$  and  $\theta_F$  are the saturated soil water content and field water capacity (cm<sup>3</sup> cm<sup>-3</sup>); and  $\theta_w$  is the soil water content at wilting point (cm<sup>3</sup> cm<sup>-3</sup>). This modified Jarvis function with water stress can be then incorporated into the Penman–Monteith or Shuttleworth–Wallace models to estimate the effect of water stress on crop ET<sub>a</sub> such as for rice (J. Z. Xu et al., 2017), maize (S. Li et al., 2013; X. J. Li et al., 2019), tomato (Ortega-Farias et al., 2006), soybean (Ortega-Farias et al., 2004), and wheat (D. Yang et al., 2020).

The effect of water stress on crop  $\text{ET}_{a}$  can also be quantified by the FAO 56 single (Equation 15a) or dual crop coefficient (Equation 15b) methods. These methods incorporate a water stress coefficient ( $K_{s}$ ) as (R. G. Allen et al., 1998)

$$ET_a = K_s K_c ET_o, (15a)$$

$$\mathrm{ET}_{\mathrm{a}} = T_r + E_s = (K_s K_{cb} + K_e) \mathrm{ET}_{\mathrm{o}}, \tag{15b}$$

where  $K_c$  is the crop coefficient,  $K_{cb}$  is the basal crop coefficient,  $K_e$  is the evaporation coefficient, and  $\text{ET}_o$  is the reference evapotranspiration as before. For crops others than rice,  $K_s$  can be determined as (R. G. Allen et al., 1998)

$$K_{s} = \begin{cases} \frac{\text{TAW} - D_{r,i}}{\text{TAW} - \text{RAW}} = \frac{\text{TAW} - D_{r,i}}{(1-p) \text{TAW}} D_{r,i} > \text{RAW}, \\ 1 & D_{r,i} \le \text{RAW} \end{cases}$$
(16a)

where TAW and RAW are the root zone total available and readily available soil water content (mm); p is the fraction of TAW that plants can extract water from the soil before being subjected to water stress. Values of p for varying crops are shown elsewhere (R. G. Allen et al., 1998);  $D_{r,i}$  is the water depletion at the end of day i (mm).



The  $K_s$  for rice is different as a result of flooding and can be determined based on the relative soil moisture content,  $\theta_r (=\theta/\theta_s)$  (Lv et al., 2018; J. Z. Xu et al., 2017) and is given as

$$K_{s} = \begin{cases} 1 & \theta_{r} \geq \theta_{r1} \\ \ln(1+100\theta_{r})/\ln(\alpha_{1}) & \theta_{r2} < \theta_{r} < \theta_{r1}, \\ \alpha_{2} \exp((\theta_{r}-\theta_{r2})/\theta_{r2}) & \theta_{r} \leq \theta_{r2} \end{cases}$$
(16b)

where  $\theta_{r1}$  and  $\theta_{r2}$  are the two critical values of  $\theta_r$ . The  $\alpha_1$  and  $\alpha_2$  are coefficients that depend on values of  $\theta_{r1}$  and  $\theta_{r2}$ .

#### 3.2.2. Salinity Stress

Salinity affects over 800 million ha of land worldwide (Munns, 2005). It is one of main factors inhibiting  $ET_a$  and crop production. Irrigation with saline water measured by its electrical conductivity ( $EC_{iw}$ ) immediately inhibits  $ET_a$  as a result of osmotic stress, but daily  $ET_a$  experiences a linear reduction with increased  $EC_{iw}$  after several applications of saline water (Qiu et al., 2017). Salinity reduces plant water flow (Y. Y. Lu & Fricke, 2023) and limits the  $ET_a$  during day and night. The nighttime  $ET_a$  of hot pepper as well as hourly  $ET_a$  during all daytime conditions have been reported to be linearly decreased as  $EC_{iw}$  increased at 24, 28 and 66 days after application of saline water (Qiu et al., 2017). Total growing–season  $ET_a$  also showed a linear reduction with increasing  $EC_{iw}$  when  $EC_{iw}$  exceeded a threshold for many crop types, including corn, melon (Shani & Dudley, 2001; Skaggs et al., 2006), bell pepper, sunflower, onion, and tomato (Ben-Gal et al., 2003, 2008; Shani et al., 2007).

The ET<sub>a</sub> in response to salinity stress is analogous to water stress (Figure 6b). (a) Salinity decreases soil water availability by decreasing the osmotic potential (Corwin et al., 2007; Katerji et al., 2003), which then leads to lower free energy of water and thus requires extra biological energy for the roots to pull water (S. Chen et al., 2016; Homaee & Schmidhalter, 2008; Homaee et al., 2002; Ramos et al., 2012). (b) The  $g_s$  is inhibited by salinity (Assouline et al., 2006; J. Qin et al., 2010; Volpe et al., 2011), and is linearly reduced with increasing EC<sub>iw</sub> thereby limiting  $T_{r-leaf}$  (Qiu et al., 2017). (c) Salinity has an adverse effect on the leaf growth rate both on short– and long–term, enhancing the reductions in  $T_r$  (Assouline et al., 2006; Maggio et al., 2004; Munns, 2002). (d) Root properties, such as root turgor pressure, root density and activity, are restricted by salinity, limiting root water uptake rate and inhibiting  $T_r$  (Maggio et al., 2004; Skaggs et al., 2006). (e) Salinity stress causes oxidative stress and excessive Na<sup>+</sup> and a reduction in K<sup>+</sup> in plant tissues restricting  $A_n$  and growth rate of root and leaf, in turn further limiting  $T_r$  (Hatamnia et al., 2013; Munns, 2002; Qiu et al., 2018). (f) Similar to water stress, warmer canopy and higher VPD under saline stress may offset the adverse effect of salinity on ET<sub>a</sub> by increasing the driving force.

Irrigation with saline water ultimately leads to soil salt accumulation. Therefore, application of extra clean water to leach salts from the root zone is required (Letey & Feng, 2007). High leaching fraction allows excess salt to leach out of the root zone thereby increasing  $ET_a$ . The total growing–season  $ET_a$  of hot pepper has been reported to increase by 5%–9% as a result of lower root zone soil salinity, lower absorbed Na<sup>+</sup>, and greater LAI in high leaching fractions. However, the leaching fraction does not immediately affect  $ET_a$ . Daily  $ET_a$  experienced a statistically significant reduction after 55 days from when salinity was imposed (Qiu et al., 2017).

A reduction in  $ET_a$  due to salinity leads to reductions in yield or biomass, which can be described by a linear response function (Equation 17) (Maas & Hoffman, 1977) or by a sigmoidal logistic response function (Equation 18) (Van Genuchten & Hoffman, 1984), as

$$\frac{ET_{a}}{ET_{m}} = \begin{cases} 1 & 0 \le EC_{e} \le EC_{et} \\ 1 - b(EC_{e} - EC_{et}) & EC_{et} < EC_{e} < EC_{eo}, \\ 0 & EC_{e} > EC_{eo} \end{cases}$$
(17)

$$\frac{\text{ET}_{a}}{\text{ET}_{m}} = \frac{1}{1 + (\text{EC}_{e}/\text{EC}_{e50})^{\alpha}},$$
(18)



#### Table 5

Summary of Stress Coefficients Due To Osmotic Stress Induced by Salinity and Water Deficit ( $K_{FC}$ )

Equations	Sources
$K_{EC} = \frac{TAW - D_{r,i}}{TAW - RAW} \left(1 - \frac{b(EC_e - EC_{el})}{100K_y}\right)$	R. G. Allen et al. (1998)
$K_{EC} = \frac{TAW - D_{r,i}}{TAW - RAW} \left( 1 + \frac{(a-1) - b(EC_e - EC_{et})}{K_y} \right)$	Sepaskhah et al. (2006)
$K_{EC} = \frac{TAW_{salt} - D_{r,i}}{TAW_{salt} - RAW_{salt}} \left(1 - \frac{b(EC_e - EC_{et})}{100K_y}\right)$	Pereira et al. (2007); Rosa et al. (2016); Minhas et al. (2020); M. Liu, Shi, et al. (2022); M. Liu, Paredes, et al. (2022)
$K_{EC} = 1 - \frac{b}{100K_y} \left( \frac{C_{r_z} \theta_{r_z}}{\theta_{r_z,s}} - EC_{et} \right)$	Xiong et al. (2019)

*Note.* The  $EC_e$  is the electrical conductivity of soil saturated paste extract (dS m<sup>-1</sup>),  $EC_{et}$  is the threshold  $EC_e$  beyond which yield is reduced (dS m<sup>-1</sup>). TAW and RAW are the total and readily available root zone soil water content (mm), TAW<sub>salt</sub> and RAW<sub>salt</sub> are the total and readily available root zone soil water conditions (mm), D<sub>r,i</sub> is the water depletion in the root zone at the end of day *i* (mm),  $K_y$  is a factor showing the reduction of relative yield due to decline of relative evapotranspiration (–). *b* is the slope parameter (% m dS<sup>-1</sup> or m dS<sup>-1</sup>). The values of  $K_y$ ,  $EC_{et}$  and *b* are crop specific, and the latest updated values of these parameters are shown elsewhere (Minhas et al., 2020), *a* is a coefficient >1 for crops (e.g., sugar beet). The  $C_{rz}$  is the average root zone salinity (dS m<sup>-1</sup>),  $\theta_{rz}$  and  $\theta_{rz,s}$  is the average and saturated root zone soil water content (cm<sup>3</sup> cm<sup>-3</sup>).

where  $\text{ET}_{\text{m}}$  is the maximum  $\text{ET}_{\text{a}}$ ,  $\text{EC}_{\text{e}}$  is the electrical conductivity of soil saturated paste extract (dS m<sup>-1</sup>), EC<sub>et</sub> is the threshold EC<sub>e</sub> beyond that ET<sub>a</sub> starts to be reduced (dS m<sup>-1</sup>), *b* is the slope parameter (m dS<sup>-1</sup>), and EC<sub>eo</sub> is the critical EC<sub>e</sub> beyond which ET<sub>a</sub> = 0, EC<sub>e50</sub> shows the EC<sub>e</sub> when ET<sub>a</sub>/ET<sub>m</sub> = 0.5, and  $\alpha$  is an empirical variable. The common factor used in the above functions is EC<sub>e</sub> but it could also be set to EC<sub>iw</sub> or drainage water salinity (Qiu et al., 2017).

The linear salinity response function for total growing–season  $ET_a$  have been reported in young pomegranates and hot pepper (Bhantana & Lazarovitch, 2010; Qiu et al., 2017), and the sigmoidal logistic response function have been successfully applied in hot pepper, date palms and leeks (Kiremit & Arslan, 2016; Qiu et al., 2017; Tripler et al., 2011).

The effect of salinity on crop  $ET_a$  can also be estimated by the FAO 56 single (Equation 19a) or dual crop coefficient (Equation 19b) methods by considering a stress coefficient due to osmotic stress induced by salinity and water deficit ( $K_{EC}$ )

$$ET_a = K_{EC}K_cET_o, (19a)$$

$$\mathrm{ET}_{\mathrm{a}} = T_r + E_s = (\mathrm{K}_{\mathrm{EC}}\mathrm{K}_{\mathrm{cb}} + \mathrm{K}_{\mathrm{e}})\mathrm{ET}_{\mathrm{o}}.$$
(19b)

The different versions of  $K_{EC}$  are summarized in Table 5. This model has been tested for many crops such as maize, wheat, sweet sorghum, and sugarbeet (M. Liu, Shi, et al., 2022; Pereira et al., 2007; Rosa et al., 2016; Sepaskhah et al., 2006).

#### 3.2.3. Heat Stress

Heat stress is defined as the temperatures above the threshold level (usually the normal optimum) lasting for varying duration (several days or weeks) and intensities (Kotak et al., 2007; Z. Q. Yang et al., 2023). Projected warming and climatic variability will cause more frequent and intense heat stress events. Such projections will carry adverse effect on overall crop production (B. Liu et al., 2016). Frequency of extreme temperature event that occurred once in 50 years on average are likely to occur 8.6 times for a future  $+1.5^{\circ}$ C warming level than in 1850–1900, and increase in intensity to  $+2.0^{\circ}$ C (IPCC, 2021).

There are limited studies investigating the effect of heat stress on crop  $ET_a$  in open fields because of setup difficulties and other experimental challenges in maintaining controlled heating increments. Hence, the heat stress studies are mainly conducted in phytotrons or artificial climate chambers with potted plants (B. Liu et al., 2016). Recent efforts to experimentally assess heat stress, water stress, and their joint effects on two woody species in





Figure 7. Effect of heat stress on evapotranspiration of gerbera during the vegetative growing stage. The  $g_s$  is the stomatal conductance. Upper and lower arrows show increasement and decline. The figure was drawn based on the description in Z. Q. Yang et al. (2023).

chambers are underway (Grossiord et al., 2017) with interesting results on plant hydraulic adjustments and stomatal sensitivity to VPD.

The impact of heat stress on  $ET_a$  is viewed as a compromise between its effect on reduced  $T_r$  and enhanced  $E_s$ , along with a shortened growth period. Heat stress has adverse effects on  $T_r$  and growth, whereas it has a positive effect on  $E_s$  due to increased VPD under sufficient watered conditions (Figure 7). Daily ET<sub>a</sub> of soybean during the middle season have been shown to increase from 4.7 mm  $d^{-1}$  at 28/18°C (day/night temperature) to 11.9 mm  $d^{-1}$ at 44/34°C (L. H. Allen et al., 2003). Pot experiments also showed that daily  $ET_a$  of gerbera was significantly increased (8.4%-24.5%) due to increased daily  $E_s$  (100%-115%) than decreased daily  $T_r$  (12.1%-31.8%) during heat stress period (38/28°C), while markedly reduced (11.1%-22.7%) after the end of heat stress (Z. Q. Yang et al., 2023). In addition, longer heat stress durations lead to greater reduction in daily  $T_r$ . This reduction of daily  $T_r$  occurs near noon at the beginning of heat stress and extends to other daylight time as heat stress progresses (Z. Q. Yang et al., 2023). This is because short-term heat stress mainly limits  $g_s$  (Green & Weedon, 2012; Tan et al., 2011), affecting the hourly  $T_r$  during the period of heat stress. As heat stress progress, stomatal size, leaf area, and root actively start to be suppressed (B. Liu et al., 2017; C. Xu & Huang, 2008; Z. Q. Yang et al., 2023). These suppressions translate to reductions in hourly  $T_r$  during other daylight hours. When heat stress is further maintained, root biomass begins to decline (Chavan et al., 2019; Khanna et al., 2017), further limiting water and nutrient access and transport in plants. After 15 days of heat stress during the vegetative growing stage of gerbera, reduction of hourly  $T_r$  appeared for the entire daytime period as a result of restricted development of stomata, leaves, and roots (Z. Q. Yang et al., 2023). Additionally, the adverse effect of heat stress on  $T_r$  weakened during the middle and late stages than the early stage. More marked reduction of  $T_r$  was observed during vegetative growth stage (19%–32%) than during the flowering bud differentiation stage (12%–20%) (Z. Q. Yang et al., 2023). Heat stress has been shown to accelerate phenology. For instance, the period from anthesis to maturity in rice is linearly decreased as post–anthesis heat degree–days increased (Shi et al., 2015). This leads to a low total growing–season  $ET_a$  under heat stress.

Until now, several modeling studies evaluated the effect of heat stress on biomass, yield, and phenology (B. Liu et al., 2016, 2017; Shi et al., 2015; T. Sun et al., 2021). In these models, the effect of heat stress can be quantified by accumulated daily heat degree days (AHDD,  $^{\circ}$ C d), using

$$AHDD_i = \sum_{j=1}^{i} HDD_j,$$
(20a)

where the HDD<sub>*j*</sub> (°C) is the accumulation of hourly temperature ( $T_i$ ) over the threshold temperature ( $T_h$ ) on the *j*th day, which can be calculated as

$$HDD_{j} = \frac{1}{24} \sum_{i=1}^{24} HD_{i},$$
 (20b)

$$HD_i = \begin{cases} 0 & T_i < T_h \\ T_i - T_h & T_i \ge T_h \end{cases}$$
(20c)

While the effect of heat stress on  $\text{ET}_a$  has been overlooked, this AHDD formulation may be incorporated into  $\text{ET}_a$  models such as the Penman–Monteith, Priestley–Taylor, or crop coefficient schemes that seek to estimate heat stress effects on  $\text{ET}_a$ . In addition, the modified Priestley–Taylor model adopting temperature response function for estimating warming effect may be adopted for modeling crop  $\text{ET}_a$  under heat stress (Qiu et al., 2021). These lines of inquiry deserve further investigation given the availability of  $\text{ET}_a$  measurements and models.

#### 3.3. Effects of Management Practices

#### 3.3.1. Planting Density

Appropriate planting density can minimize plant competition thereby increasing crop production and water use efficiency (Ahmadi et al., 2019). Planting density is also one of management factors affecting water consumption (R. G. Allen et al., 1998; R. G. Allen & Pereira, 2009). Although some studies show that planting density have no significant effect on total growing–season  $ET_a$  for winter wheat in four of five growing seasons (S. Chen et al., 2010), maize (Jia et al., 2018; F. Zhang et al., 2019), and sunflower (Echarte et al., 2020), the majority of studies indicate that planting density had appreciable effect on total growing–season  $ET_a$  for maize (Dai et al., 2022; Gardiol et al., 2003; Jiang et al., 2014; Y. E. Liu et al., 2021; Sandhu & Irmak, 2019; Y. Zhang et al., 2019; J. Zhao et al., 2019), potato (Hou et al., 2020), Quinoa (Ahmadi et al., 2019), sugar beet (Khozaei et al., 2020), winter wheat (Eberbach & Pala, 2005), and bean (de Medeiros et al., 2001). It is to be noted that an analysis of Variance (ANOVA) was not presented in some of these studies to detect significance or lack thereof (Ahmadi et al., 2019; de Medeiros et al., 2001; Eberbach & Pala, 2005; Gardiol et al., 2003; Khozaei et al., 2020; Sandhu & Irmak, 2019).

Increasing planting density have been reported to increase total growing–season  $\text{ET}_{a}$  of maize (Dai et al., 2022; Y. E. Liu et al., 2021). In addition, even when total growing–season  $\text{ET}_{a}$  increased, total growing–season  $\text{ET}_{a}$  per plant decreased (roughly linear) as planting density increased for maize and greenhouse–grown tomato under a range of experimental planting density (Jiang et al., 2014; Qiu et al., 2013; Y. Zhang et al., 2019). However, the highest total growing–season  $\text{ET}_{a}$  of crops is not always observed at the highest planting density as a result of intense crop–crop competition for light, water, and nutrients (de Medeiros et al., 2001; Y. Zhang et al., 2019; J. Zhao et al., 2019). To be clear, plant–plant competition is expected to be highest in mono–cultured crops because of lack of separated ecological niches that can utilize varying resources. Such intense competition has been studied and several theories offered that successfully describe the "emergent power–law relation between mean biomass and planting density. Such power–law relations are termed the constant final yield and Yoda's self–

thinning rules, but those theories are reviewed elsewhere (Mrad et al., 2020) and not covered here. It suffices to state that planting density alters water consumption because of areal and sub–areal competition for light and water (Manoli et al., 2017).

The differences in daily  $ET_a$  among planting density treatments mainly occurred at the initial and crop development stages for greenhouse–grown tomato and at the crop development and middle stages for maize (Jiang et al., 2014; Qiu et al., 2013). Jia et al. (2018) found that increasing planting density increased daily  $ET_a$  during the early growing stages, while it decreased it in the late growing stages.

Some studies reported that differences in crop  $\text{ET}_{a}$  result from varying planting density and can be explained by their differences in LAI or canopy coverage. This finding hint that the surface energy partitioning between soil and plants is the primary factor (Jiang et al., 2014). Although high planting density reduces the  $R_n$  arriving at the soil surface thereby decreasing  $E_s$  rate (S. Chen et al., 2010; Y. E. Liu et al., 2021), it increases the radiation energy intercepted by the crop canopy even before the crop canopy is fully covered (Francescangeli et al., 2006; Papadopoulos & Ormrod, 1988). More radiation load in the crop canopy accelerates soil moisture depletion (Dai et al., 2022; Hou et al., 2020; Y. Zhang et al., 2019) and increases  $T_r$  (S. Chen et al., 2010; Eberbach & Pala, 2005; Jiang et al., 2014). However, after LAI reaches a threshold value (usually near fully covered crop canopy), further increases in LAI do not markedly increase the energy intercepted by the canopy. Radiation use efficiency has been found to be no longer increasing when LAI > 3 for cucumber and broccoli (Francescangeli et al., 2006). Therefore, further increases in LAI beyond some threshold will not significantly affect ET<sub>a</sub>.

Increases in planting density accelerates leaf senescence, especially the lower leaves because of low radiation intercepted by the lower strata leaves (Djaman et al., 2022). This is particularly true for leaf senescence rate of maize (cultivar DK696) described elsewhere (Borrás et al., 2003). This accelerated senescence will lead to a low  $T_r$  rate in high planting density during the late stage.

Translating these effects into models is the next step, and the concept of crop coefficients is, once again, a logical starting point. That is, planting density effects on  $\text{ET}_{a}$  can be quantified by a density coefficient ( $K_{d}$ ) in the single or dual crop coefficient models (R. G. Allen & Pereira, 2009; Rosa, Paredes, Rodrigues, Alves, et al., 2012). This  $K_{d}$  is a function of LAI or effective fraction of ground cover ( $f_{c}$  eff) and crop height ( $h_{c}$ ), and is given by

$$K_d = (1 - \exp^{[-0.7\text{LAI}]}),$$
 (21a)

$$K_d = \min(1, M_c f_{c\,eff}, f_{c\,eff}^{1/(1+h_c)}),$$
(21b)

where  $M_c$  is a multiplier of  $f_{c \text{ eff}}$  [1.5, 2.0]. This  $K_d$  can then be incorporated into the single or dual crop coefficient methods to estimate crop ET<sub>a</sub> as

$$ET_{a} = K_{s}(K_{soil} + K_{d}(K_{c \text{ full}} - K_{soil}))ET_{o}, \qquad (22a)$$

$$\mathrm{ET}_{\mathrm{a}} = (K_s(K_{c\min} + K_d(K_{cbfull} - K_{c\min})) + K_e) \mathrm{ET}_{\mathrm{o}}, \tag{22b}$$

where  $K_{\text{soil}}$  is the mean  $K_c$  from the exposed soil surface,  $K_{c \text{ full}}$  and  $K_{cb \text{ full}}$  are  $K_c$  and  $K_{cb}$  when the crop almost fully covers the ground (R. G. Allen & Pereira, 2009).

The above methods have been successfully applied for many crops such as artichoke, beans, broccoli, lettuce, cantaloupe/honeydew, onion, strawberry, tomato, hot pepper, maize, winter wheat, cotton, barley, sunflower, canola, soybean, cucumber, eggplant, watermelon, zucchini, and strawberry (R. G. Allen & Pereira, 2009; Jiang et al., 2014; Pereira et al., 2020, 2021; Qiu et al., 2015a; Rosa, Paredes, Rodrigues, Fernando, et al., 2012; B. Z. Zhang et al., 2013; N. Zhao et al., 2013).

#### 3.3.2. Irrigation Methods

Irrigation plays a first–order role in maintaining and increasing grain production worldwide, especially in arid and semi–arid regions. The total irrigated area in China, India, USA, Pakistan, and Iran (top five countries) reached 65.9, 62.0, 23.5, 19.1, and 8.46 million ha, respectively, accounting for 54%, 18%, 37%, 61%, and 61% of total cultivated area of the corresponding country (ICID, 2021). Compared to rain–fed agriculture, irrigated agriculture



ne Effect of irrigation Methods on Total Growing-Season Evapotranspiration $(E1_a)$ Under Sufficient water Condition							
Crop	Reference irrigation	Irrigation method	Percentage change relative to reference (%)	Reference			
Maize (C <sub>4</sub> )	Sprinkler	Drip (surface)	-25	Valentín et al. (2020)			
	Sprinkler	Drip (subsurface)	-39				
	Border	Furrow	-4	T. Zhang et al. (2021)			
	Border	Drip (surface)	-8				
	Center pivot	Furrow	-4	Mohammed and Irmak (2022)			
	Center pivot	Drip (subsurface)	-8				
	Border	Drip	-7 <sup>a</sup>	Y. Wang et al. (2020)			
	Flood	Drip	-9	X. Wang et al. (2018)			
	Porous capsule	Drip (surface)	-2	Kanani et al. (2022)			
	Porous capsule	Drip (subsurface)	-3				
Okra (C <sub>3</sub> )	Surface	Drip (surface)	-11 to 22	Patra et al. (2023)			
Spring wheat (C <sub>3</sub> )	Border	Drip (surface)	$-4 \text{ to } 6^{\text{b}}$	D. Yang et al. (2020)			
Tomato $(C_3)^c$	Furrow	Drip (surface)	-10 to 12	B. Li et al. (2021)			
Sugar beet (C <sub>3</sub> )	Furrow	Drip (surface)	-43	Sugita et al. (2017)			

Table 6

<sup>a</sup>The value is the mean based on 5 years experiment measured using two eddy covariance systems. <sup>b</sup>The values are measured using two Bowen-ratio Energy Balance systems. <sup>c</sup>The tomato plants are grown under greenhouse.

> yielded 40%-45% of the total food production using only one-fifth of the total cultivated area (Döll & Siebert, 2002). However, conventional irrigation methods such as flooding irrigation are deemed wasteful. With increasing water scarcity, there is increasing competition for water resources between the agricultural sector and other sectors. This will inevitably translate to less water being available to maintain or expand irrigated agriculture in the future. Hence, water saving technologies such as sprinkle and micro irrigation have been widely adopted to save irrigation water and improve water productivity. The total area of sprinkle plus micro irrigation is now 16, 9.0, 5, 5, and 3 million ha, respectively, for the USA, China, India, Brazil, and Spain (top five countries). accounting for 69%,14%, 8%, 77%, and 74% of total irrigated area of the corresponding country (ICID, 2021).

> Compared to other traditional irrigation methods, total growing-season ET<sub>a</sub> under drip irrigation was reduced by 2%-39% for maize, 11%-22% for okra, 4%-6% for spring wheat, 10%-12% for tomato, and 43% for sugar beet (Table 6). This reduction under drip irrigation was influenced by lower soil wetting area, shortened growing season, less energy partitioning to  $\lambda ET_a$ , increased VPD, and altered crop characteristics. (a) Drip irrigation has a lower irrigation amount and wetting area, leading to a lower E, rate than traditional irrigation methods. Compared to border irrigation, drip irrigation decreased total growing-season  $E_s$  by an average of 23% for maize under a transparent plastic film mulch (Guo et al., 2022; S. Qin et al., 2016; Y. Wang et al., 2020), and by 4% for spring wheat (D. Yang et al., 2020). (b) The phenology under drip irrigation have been reported to be ahead by 5–23 days for maize under transparent plastic film mulch, and by 7 days for spring wheat relative to border irrigation, which reduced total growing-season ET<sub>a</sub> (Guo et al., 2022; D. Yang et al., 2020; Y. Zhao et al., 2021). This shortened phenology induced by drip irrigation also affected total growing-season  $T_{r}$ , which was reduced by 1%-14% in four of five seasons of maize and by 5% for spring wheat than border irrigation. However, a larger daily average growing-season  $T_r$  rate was also observed for maize and wheat (increased by 7% and 1%, respectively) under drip irrigation (S. Qin et al., 2016; Y. Wang et al., 2020; D. Yang et al., 2020). (c) LAI affecting the canopy transpiration shows inconsistent results for varying crop species under different irrigation methods. Drip irrigation increased LAI for maize (S. Qin, Fan, et al., 2023; Y. Wang et al., 2020) and okra (Patra et al., 2023) relative to border irrigation and surface irrigation, respectively, while it reduced it by 16.9% for spring wheat when compared to border irrigation (D. Yang et al., 2023), and decreased it by 7%-13% for greenhouse-grown tomato compared to furrow irrigation (B. Li et al., 2020). In addition, drip irrigation supply water and fertilizers directly to crop root zone using high-frequency irrigation with elevated irrigation efficiency and low irrigation amount based on crop water demand, thereby promoting root growth and root water uptake (Mahajan & Singh, 2006; P. Yang et al., 2023). (d) Drip irrigation also resulted in warmer canopies and low humidity, in turn potentially





Figure 8. Estimation of the fraction of the soil both exposed and wetted  $(f_{ew})$  based on fractions of canopy coverage  $(f_c)$  and the wetted surface  $(f_w)$  for non-fully covered crops when the wetting comes from precipitation, basin, border or sprinkler irrigations that fully wets the soil surface (a) or from furrow (b) and drip irrigation (c) that partially wets the soil surface.

enhancing daily ET<sub>a</sub>. Drip irrigation increased the mean seasonal canopy temperature by 0.52 and 1.11°C, respectively, for maize and spring wheat when compared to border irrigation (S. Qin, Fan, et al., 2023; D. Yang et al., 2023). It also increased mean seasonal  $T_a$  by 0.28–0.61°C and reduced mean seasonal RH from 77% to 74% for greenhouse–grown tomato relative to furrow irrigation, resulting in an increasement of VPD by 0.1–0.2 kPa (B. Li et al., 2020). This increased  $T_a$  incorporating great soil temperature induced by drip irrigation is also one of the reasons for advancing the phenology and accelerating crop growth as discussed earlier. (e) Drip irrigation altered the energy balance components as expected. Drip irrigation increased the overall available energy by 3% for a maize field (mainly at initial stage of 10%), and by 7.48 W m<sup>-2</sup> for spring wheat relative to border irrigation, and increased by 1% during winter season, while reduced by 6% during the summer season for greenhouse–grown tomato (B. Li et al., 2020; S. Qin, Fan, et al., 2023; D. Yang et al., 2023). However, the energy was partitioned less to  $\lambda$ ET<sub>a</sub> under drip irrigation decreased growing–season  $\lambda$ ET<sub>a</sub>/ $R_n$  by 6%–12% for greenhouse–grown tomato compared to furrow irrigation, and by 11% for spring wheat than border irrigation, and reduced growing–season  $\lambda$ ET<sub>a</sub>/ $(R_n-G_0)$  by 7% for maize relative to border irrigation.

The effect of irrigation methods on  $\text{ET}_{a}$  have been considerate in the modified dual crop coefficient method and incorporated into its interactive software, SIMDualKc (Rosa, Paredes, Rodrigues, Alves, et al., 2012). Some studies (R. G. Allen et al., 2005) modified the  $E_s$  estimation procedure to calculate daily  $K_e$  when irrigation partially wets the soil (such as drip and furrow irrigations) and canopy cover is not full (Figure 8b), which is different from the condition for full wetted soil surface (Figure 8a). In this modification,  $K_e$  was divided into two parts. One part ( $K_{ep}$ ) was for the exposed fraction of wetted soil only by precipitation ( $f_{ewp}$ ), and another ( $K_{ei}$ ) was for the exposed fraction and precipitation ( $f_{ewi}$ ). Thus,

$$K_e = K_{ep} + K_{ei},\tag{23}$$

where  $K_{ep}$  is the  $K_e$  for  $f_{ewp}$ , and  $K_{ei}$  is the  $K_e$  for  $f_{ewi}$ .  $K_{ep}$  and  $K_{ei}$  can be calculated as

$$K_{ep} = K_{rp}(1 - W)(K_{cmax} - K_{cb}) \le f_{ewp}K_{cmax},$$
(24a)

$$K_{ei} = K_{ri}W(K_{cmax} - K_{cb}) \le f_{ewi}K_{cmax}, \qquad (24b)$$

where  $K_{rp}$  and  $K_{ri}$  is the evaporation reduction coefficient for  $f_{ewp}$  and  $f_{ewi}$  fractions. Here, W is a weighting factor for partitioning the available energy into  $f_{ewi}$  and  $f_{ewp}$  fractions,  $K_{c \max}$  is the maximum value of  $K_c$ . The  $f_{ewp}$  and  $f_{ewi}$  can be determined as

$$f_{ewp} = 1 - f_c - f_{ewi},\tag{25a}$$

$$f_{ewi} = \min(1 - f_c, f_w), \tag{25b}$$

where  $f_c$  is the fraction of canopy cover, and  $f_w$  is the fraction of the wetted soil surface by irrigation. For drip irrigation, multiplying  $f_w$  by  $[1-2/3f_c]$  in Equation 25b is recommended (R. G. Allen et al., 1998). The detailed calculation procedure can be found elsewhere (R. G. Allen et al., 2005; Rosa, Paredes, Rodrigues, Alves, et al., 2012). The method has been used for many irrigation practices such as surface, basin, sprinkler, furrow and drip irrigations (R. G. Allen et al., 2005; Martins et al., 2013; Qiu et al., 2015a; Rosa, Paredes, Rodrigues, Fernando, et al., 2012; B. Z. Zhang et al., 2013).

#### 3.3.3. Mulching

Mulching is a widely adopted agricultural practice to increase crop production and leaf water use efficiency (i.e.,  $WUE = A_n/T_{r-leaf}$ ), including plastic film, straw, and degradable film mulching, among others. Among these methods, plastic film mulching is the dominant type until now and degradable film mulching is the most prospective type to avoid film residuals. In China, the total amount of plastic film in agricultural land reached 2.4 million tons in 2019, covering 11% (17.6 million ha) of cropland (National Bureau of Statistics of China, 2023), although no further increase or even a slight decline was reported in recent years.

The effect of mulching on total growing-season ET<sub>a</sub> shows inconsistent results, varying from negative (N. Chen et al., 2021; S. Qin et al., 2014; Y. Zhang et al., 2018; Y. Zhao et al., 2021) to no change (Chai et al., 2022; Fan et al., 2017), and to positive (G. Liu et al., 2018; D. Sun et al., 2020; Xie et al., 2005), depending on the crop, mulching type, region, and soil characteristics. A meta-analysis showed that total growing-season ET<sub>a</sub> under plastic film mulching was not affected for corn, but increased for wheat, and decreased for potato (L. Xiao et al., 2023). In addition, the overall results by the same study showed that total growing-season  $ET_a$  was significantly reduced in subgroups with black plastic film and regions in Northeast China. However, total growingseason  $ET_a$  increased in areas with mean annual precipitation of 400–600 mm and soil organic carbon concentration of 10 g kg<sup>-1</sup>. Another meta-analysis showed that plastic film, straw, and degradable film mulching significantly decreased total growing-season ET<sub>a</sub> of maize by 5%, 3%, and 8%, respectively, and the reduction occurred in areas with altitude of 500-1,500 m, >1,500 m, 500-1,000 m, respectively, with mean annual rainfall of <500, <500, 500-1,000 mm, respectively, and mean annual temperature of 10-15, 5-10, 10-15°C, respectively (W. Cai et al., 2022). The planting pattern in combination with mulching also affected total growing-season ET<sub>a</sub> of maize, where significant reduction was observed for plastic film mulching under both flat and ridge-furrow planting, and for both straw and degradable film mulching under flat planting (W. Cai et al., 2022). Generally, total growingseason ET<sub>a</sub> of potato was not affected by plastic film and straw mulching in subgroups of different regions in China, temperate zones, film colors, and mean annual precipitation. A notable exception is the significant increase (by 11%) in total growing-season ET<sub>a</sub> when the mean growth temperatures  $>20^{\circ}$ C for straw mulching and modest reduction (by 2%) when mean annual precipitation <400 mm for plastic film mulching (Q. Li et al., 2018).

The effect of mulching on  $ET_a$  rates also varied at different growth stages with different canopy coverage. Compared to non-mulching treatment, daily  $ET_a$  of maize under transparent plastic film mulching was lower at the initial, development, and late season stages, but greater at the middle growing stages (Fan et al., 2017; Y. Zhang et al., 2018). Based on 24 field experiments, the  $ET_a$  of winter wheat under transparent plastic film mulching in northwest China was not affected in the early and late stages, but was markedly increased by 30 mm in the middle stage (Chai et al., 2022).

The variability of responses of  $ET_a$  to mulching can be attributed to the comprehensive effect of mulching on reduction in  $E_s$  and increases in  $T_r$ , along with a shortened phenology. Applying mulching, especially when crop canopy coverage is small, can markedly prevent water loss from the soil surface through reductions in available energy (Rosa, Paredes, Rodrigues, Alves, et al., 2012). The total growing–season  $E_s$  was reduced by 45%-55% under transparent plastic film mulching (Y. Zhang et al., 2018; Y. Zhao et al., 2021), by 18% under wheat straw mulch for maize (S. X. Li et al., 2013), and by 25%-30% under straw mulching for wheat (Balwinder-Singh et al., 2011; J. Wang et al., 2018). The  $T_r$  rate can be enhanced under mulching because of improved soil hydrothermal conditions by decreasing nitrogen leaching and increasing soil temperature and maintaining good soil moisture content by inhibiting  $E_s$ . These conditions promote crop growth and development later, which in turn, increases  $T_r$  (L. Xiao et al., 2023; Y. Zhang et al., 2018). A meta–analysis showed that plastic film mulching increases mean soil moisture by 9% across soil layers, with more increases in the topsoil (13%) than at the 80–100 cm soil layer (6%) (D. Ma et al., 2018). Greater  $g_s$  (C. Li et al., 2023; X. Zhang et al., 2019), LAI (N. Chen et al., 2021; Y. Feng, Gong, et al., 2017; Xie et al., 2005; Y. Zhang et al., 2018), root length density and root dry biomass (Gao

et al., 2014; Thidar et al., 2020) were also observed under mulching conditions than non–mulched fields, which in turn further enhance the ability of root water uptake and  $T_r$ . Total growing–season  $T_r$  has increased by 6%–18% under transparent plastic film mulching for maize (Y. Zhang et al., 2018; Y. Zhao et al., 2021), and by 15% under rice straw mulch for wheat (Balwinder-Singh et al., 2011). Plastic film mulching alters the available energy ( $R_n$ – $G_0$ ) by changing the surface reflectance, absorption, and soil temperature, which affect ET<sub>a</sub> (S. Qin, Li, et al., 2023). Transparent plastic film mulching has been reported to decrease daily  $R_n$  by 3%–10%, and daily  $G_0$  by 28% over the whole growing season of maize (Fan et al., 2017; Y. Feng, Gong, et al., 2017; Y. Zhang et al., 2018; Y. Zhao et al., 2021), leading to a reduction in growing–season available energy by 3%. This reduction in available energy mainly appeared in the initial stage of maize (24%) when canopy coverage was small. Clearly, the small canopy coverage resulted in more area affected by the plastic film mulching than crop canopy (Y. Zhao et al., 2021). However, the black plastic film mulching had the opposite effect, which has been reported to increase  $R_n$  and  $G_0$ over a potato field, especially during the initial stage (Y. L. Zhang et al., 2017). Mulching can also shorten the growth duration and terminate the growing season earlier. This reduced growing season results in a decline in total growing–season ET<sub>a</sub>. Some four–five (Y. Zhang et al., 2018) and 9–12 (Y. Feng, Gong, et al., 2017) reduction days were reported for maize under transparent plastic film mulching.

To consider the effect of plastic mulching on field  $\text{ET}_{a}$ , previous studies generally introduced the fraction of soil covered by plastic mulching  $(f_{\rm m})$  into  $\text{ET}_{a}$  models. An  $f_{\rm m}$  (S. Li et al., 2013) was introduced for mulching soil resistance  $(r_s^m)$  into the Shuttleworth–Wallace model, and practically ignored the mulched  $E_s$  by treating  $r_s^m$  as infinity (N. Chen et al., 2021). Others (S. Qin et al., 2018) further calibrated  $r_s^m$  by using measured mulched  $E_s$ , and obtained a value of 1,280 s m<sup>-1</sup> for the transparent–mulched maize field in northwest China. On a similar line of reasoning (N. Chen et al., 2022),  $f_{\rm m}$  and  $r_s^m$  can be included in a modified multi–source  $\text{ET}_{\rm a}$  model such as the one of Shuttleworth–Wallace. Such revision was evaluated in a corn/tomato inter–cropped ecosystem with plastic mulching with good agreement between models and data. In addition,  $f_{\rm m}$  was also introduced into the dynamic dual crop coefficient model through affecting  $K_e$  (Ding, Kang, Zhang, et al., 2013) and a modified Priestley–Taylor model by including a soil evaporation coefficient (Ding, Kang, Li, et al., 2013) to improve their performance in mulched fields. In the dual crop coefficient model and its interactive software, SIMDualKc, the effect of mulching on  $E_s$  can be considered in  $K_e$  by changing  $f_c$  of the soil surface shaded or not exposed to radiation (Rosa, Paredes, Rodrigues, Alves, et al., 2012), where the  $f_c$  under plastic mulching condition ( $f_{c_m}$ ) in Equations 25a and 25b should be modified as

$$f_{c_m} = \max(f_c, f_m). \tag{26}$$

For organ mulching, the mulch density and depth, and  $f_m$  control the amount of reduction in  $E_s$ , which is decreased by ~5% for every 10% of soil surface covered by organic mulching (R. G. Allen et al., 1998). Thus, the magnitudes of  $K_{ei}$  and  $K_{ep}$  are reduced by the same amount. Overall, the total reduction in  $E_s$  under mulching in the dual crop coefficient model depends on  $f_m$  and the percentage of reduction in  $E_s$  (Rosa, Paredes, Rodrigues, Alves, et al., 2012).

In addition, mulching markedly changes surface albedo and inhibits vapor and  $CO_2$  exchange between the soil and the atmosphere. This revision by mulching affects the energy balance, which was considered in some land surface models. For instance, a mulched soil surface reflectance scheme was proposed and integrated into the two-stream radiation transfer model (S. Qin, Li, et al., 2023). An increase of 11% of surface reflectance was found for mulched fields relative to non-mulched cases, and contribution of mulched soil surface to field surface reflectance was 42% over a transparent mulched maize field when  $f_m$  was set to 0.75. A modified land surface model, Two-Big-Leaf-SHAW, was also developed and used to represent water, heat and  $CO_2$  fluxes under plastic mulching conditions (Q. Yang et al., 2012). This model is now being tested across a variety of mulching practices and compared to other models.

#### 3.3.4. Nitrogen Application

Fertilizer application is an important source of nutrition for plant production, where N fertilizer is the most applied. Worldwide, fertilizer application increased by 8.7 times from 1961 to 2021 for N (from 7.54 to 65.45 kg ha<sup>-1</sup> yr<sup>-1</sup>), by 3.9 times for  $P_2O_5$  (from 7.45 to 28.75 kg ha<sup>-1</sup> yr<sup>-1</sup>), and by 4.3 times for  $K_2O$  (from 5.70 to 24.42 kg ha<sup>-1</sup> yr<sup>-1</sup>) as reported by the Food and Agricultural Organization (FAO Statistics, 2024). In China,



# Table 7

The Effect of Nitrogen (N) Application on Total Growing–Season Crop Evapotranspiration Under Sufficient Water Condition

Crop type	Reference N (kg ha <sup>-1</sup> )	N application (kg ha <sup>-1</sup> )	Percentage change relative to reference (%)	Source
Wheat (C <sub>3</sub> )	70	350	28	Hunsaker et al. (2000)
	15	350	28	
	0	80	23	Caviglia and Sadras (2001)
	0	120	27	
	0	160	38	
	0	240	22–27	L. Liu et al. (2016)
	0	60	1	N. K. Lenka et al. (2021)
	0	120	2	
	0	180	3	
	0	90	9	S. Lenka et al. (2009)
	0	120	12	
	0	180	17	
Maize (C <sub>4</sub> )	0	100	10	Ogola et al. (2002)
	0	120	5	Hernández et al. (2015)
	0	135	8	Carlson et al. (1959)
	0	180	4	Barbieri et al. (2012)
	0	84	6	Rudnick and Irmak (2014)
	0	140	7	
	0	196	6	
	0	252	10	
	0	75	5	Srivastava et al. (2020)
	0	100	8	
	0	125	10	
	0	90	7	S. Lenka et al. (2009)
	0	120	8	
	0	180	18	
	0	180	17	Zhong and Shangguan (2014)
	0	270	19	
	0	360	17	
	88	350	1	Saeidi et al. (2021)
	88	263	4	
	88	175	7	
Potato (C <sub>3</sub> )	0	240	6 <sup>a</sup>	Ferreira and Carr (2002)
Soybean (C <sub>3</sub> )	0	15	NS	N. K. Lenka et al. (2020)
	0	30	NS	
	0	45	3 <sup>b</sup>	
	0	75	NS	L. Liu et al. (2016)
Cotton (C <sub>3</sub> )	100	150	NS	Oweis et al. (2011)
	100	200	NS	

<sup>a</sup>Data were for 1989 season. <sup>b</sup>Data were for 2016 season.

over–application of N fertilizer (305 kg ha<sup>-1</sup> yr<sup>-1</sup>) does not appreciably enhance crop yield, and can lead to adverse environmental issues such as widespread water pollution, soil acidification, and excessive greenhouse gas emissions (Cui et al., 2018).

The effect of N application on crop  $ET_a$  has been widely reported and summarized in Table 7. Although some studies show that N supplement had no significant effect on total growing–season  $ET_a$  (N. K. Lenka et al., 2020; L. Liu et al., 2016), the majority of studies reported that appropriate N supplement can increase total growing–season crop  $ET_a$ . For instance, compared to no N treatment, the total growing–season  $ET_a$  increased by 1%–38% for wheat when receiving 60–240 kg N ha<sup>-1</sup> (Caviglia & Sadras, 2001; N. K. Lenka et al., 2021; L. Liu et al., 2016), by 4%–10% for maize when applying 84–252 kg N ha<sup>-1</sup> (Hernández et al., 2015; Ogola et al., 2002; Rudnick & Irmak, 2014; Srivastava et al., 2020), and by 6% for potato with application of 240 kg N ha<sup>-1</sup> under sufficient water (Ferreira & Carr, 2002).

However, over–application of N fertilizer did not further increase total growing–season crop  $\text{ET}_a$  (Zhong & Shangguan, 2014). For instance, there is no significant effect for total growing–season  $\text{ET}_a$  of cotton among N levels of 100, 150, and 200 kg ha<sup>-1</sup> supplied with drip irrigation (Oweis et al., 2011). Total growing–season  $\text{ET}_a$  of wheat increased with the increased N level from 0 to 337.5 kg N ha<sup>-1</sup> but decreased beyond 337.5 kg N ha<sup>-1</sup> (F. S. Li et al., 2004). In addition, N supplement methods had no significant effect on total growing–season  $\text{ET}_a$ . There are no significant differences for total growing–season  $\text{ET}_a$  of maize among fixed rate, variable rate, and pre–plant N application methods (Sharma & Irmak, 2021).

The influence of N application on crop total growing-season ET<sub>a</sub> is viewed as a compromise between its effect on enhanced  $T_r$  and reduced  $E_s$ . (a) The appropriate N application produces an increased photosynthetic capacity per unit leaf area, and thus a greater  $g_s$  (Liao et al., 2022; Saeidi et al., 2021). These increases subsequently promote development of leaf area (N. K. Lenka et al., 2020; Srivastava et al., 2020) and possible root biomass, which in turn, enhance  $T_r$ . The mean mid-day  $g_s$  (10:00–14:00) of wheat was increased by ~86% for 450 kg N ha<sup>-1</sup> relative to no N (F. S. Li et al., 2004). The LAI also increased by 23%-45% for maize with 100-450 kg N ha<sup>-1</sup> compared to no N (F. S. Li et al., 2004; Ogola et al., 2002). The root biomass was not affected by N application for maize, cotton, sorghum, and sunflower (T. Ma et al., 2017; Ogola et al., 2002; Sainju et al., 2005), but markedly increased for rice with 100–300 kg N ha<sup>-1</sup> compared to no N (Ju et al., 2015). Total growing–season  $T_r$  was increased by 15%–26% for maize when applying 75–125 kg N ha<sup>-1</sup> (Ogola et al., 2002; Srivastava et al., 2020), by 29%–50% for wheat with  $80-120 \text{ kg N ha}^{-1}$  (Caviglia & Sadras, 2001), and by 21%-46% for potato with  $80-240 \text{ kg N ha}^{-1}$ when compared to no N treatment (Ferreira & Carr, 2002). (b) The increased LAI caused by N supplement leads to a low intercepted radiation at the soil (Hernández et al., 2015). This reduced radiation reduces  $E_s$ . Total growing-season  $E_s$  decreased by 3%-22% for maize with 75-125 kg N ha<sup>-1</sup> (Ogola et al., 2002; Srivastava et al., 2020), by 31%-59% for wheat with 80-120 kg N ha<sup>-1</sup> (Caviglia & Sadras, 2001), and by 34%-57% for potato with 80-240 kg N ha<sup>-1</sup> with respect to no N treatment (Ferreira & Carr, 2002). More increased total growing-season  $T_r$  than reduced  $E_s$  leads to an increased total growing-season ET<sub>a</sub> with appropriate N application (Ferreira & Carr, 2002; Srivastava et al., 2020).

At present, the effect of N application on  $\text{ET}_{a}$  was primarily modeled in some crop models such as in AquaCrop (H. Wu et al., 2022) and DSSAT (Irmak et al., 2024) indirectly through increased LAI or canopy coverage. A more physiologically based approach would track the effects of soil N on leaf–level N and subsequent enhancement in photosynthetic activities (Palmroth et al., 2013). When deriving empirical adjustments to stress coefficients, a polynomial function had the best performance in estimating stress that is induced by the combined salinity and N application (Saeidi et al., 2021), which may be further incorporated into crop coefficient and Priestley–Taylor models.

#### 3.4. Other Considerations

Some studies have reported other factors that affect cropland  $ET_a$ . While these factors have been partially covered throughout the review, we flag them below for completeness.

- 1. Structural properties of the canopy: Differences in  $g_s$ , canopy height, roughness, surface reflection, LAI, and root systems lead to varying  $ET_a$  magnitudes for different types of crops, varieties, and growing stages under the same environmental conditions (R. G. Allen et al., 1998). For instance, despite the nearly overlapping growing seasons of maize and vineyards (with similar climate conditions), closed maize (C<sub>4</sub>) canopies have greater available energy and allocates more of this energy to  $\lambda ET_a$  than canopies of sparse grapevines (C<sub>3</sub>), resulting in a 58% higher growing–season mean ET<sub>a</sub> rate (Jiao et al., 2018).
- 2. Irrigation and rainfall: Both irrigation and rainfall affect  $ET_a$  and energy partitioning by altering soil water content and VPD. Both  $T_r$  and  $E_s$  will increase as soil moisture increases following irrigation and rainfall,

especially for sparse canopies in arid or semi-arid regions. Daily  $ET_a$  has been reported to increase by 38% after a 70 mm irrigation and by 175% after a 29 mm rainfall for a sparse vineyard in northeast China (B. Z. Zhang et al., 2010).

- 3. Frost damage: Frost damages cell membrane and leaf structure (Qu et al., 2007), which reduces stomatal opening and consequently leads to a reduction in  $T_r$ . Daily ET<sub>a</sub> of vineyard have been reported to be reduced by 32% after suffering from frost (B. Z. Zhang et al., 2010).
- 4. Waterlogging: Waterlogging inhibits leaf water potential, g<sub>s</sub>, root biomass, and leaf area (Dickin & Wright, 2008; B. Huang et al., 1994; Malik et al., 2002), thereby negatively affecting ET<sub>a</sub>. Root biomass of wheat was reduced by an average of 62% after waterlogging lasting 7–42 days (Herzog et al., 2016). Additionally, waterlogging lasting for 16 days also decreased g<sub>s</sub> of wheat by 35%–54%, leaf area by 49%–67%, root dry weight by 72%–74%, leaf water potential by 27%–48% (B. Huang et al., 1994). Leaf transpiration has been reported to be 3%–16% lower on the day following waterlogging during the tillering stage compared to the water-drained treatment (Shao et al., 2013). However, studies on the effect of waterlogging on ET<sub>a</sub> are rarely reported.
- 5. Harvesting: A variety of crops, such as alfalfa, are grown for forage or hay and are typically harvested multiple times during the growing season. Each harvest effectively concludes a "sub" growing season, allowing for regrowth. The impact of these harvests on alfalfa ET<sub>a</sub> is primarily influenced by varying meteorological conditions experienced during each cutting cycle under sufficient water conditions. The values of K<sub>c</sub> or K<sub>cb</sub> (removing the effect of climate conditions) for the initial, mid, and late season of each cutting cycle were similar (R. G. Allen et al., 1998; Benli et al., 2006).
- 6. Enclosed and semi-enclosed environments and ventilation: Ventilation modes have been reported to have no significant effect on total growing-season  $ET_a$  of greenhouse-grown tomatoes (Gong et al., 2022), despite their impact the microclimate conditions in greenhouses. The average daily values of  $T_a$  and RH between 10:00 and 14:00 were 5.0 % and 5.5 % lower, respectively, while VPD was 8.1% higher in the treatment with simultaneous opening of the roof and south vents compared to the treatment with only the roof vent open.

### 4. Conclusions and Outlook

Reported impacts of  $e[CO_2]$ ,  $e[O_3]$ , global warming, water, salinity and heat stresses, planting density, irrigation methods, mulching, and N application on cropland  $ET_a$  were reviewed, along with their possible causes and estimation. There is general agreement that  $e[O_3]$ , water and salinity stresses, and adopting drip irrigation all lead to lower total growing–season  $ET_a$  for almost all crops. However, total growing–season  $ET_a$  in response to  $e[CO_2]$ , warming, heat stress, planting density, and N application were inconsistent across studies.

The potential causes of 10 key factors affecting total growing-season  $\text{ET}_{a}$  are summarized in Table 8. The impacts of e[CO<sub>2</sub>] and e[O<sub>3</sub>], water and salinity stresses on total growing-season  $\text{ET}_{a}$  are mainly through  $g_{s}$ , the ability of soil to conduct water to roots, development of roots and LAI, microclimate, and possibly phenology. The effect of warming on total growing-season  $\text{ET}_{a}$  can be largely explained by both variations in ambient growing-season mean  $T_{a}$  and growing duration. When water is sufficient, total growing-season  $\text{ET}_{a}$  in response to heat stress (or mulching and appropriate N supplement) is a compromise between reduced (or enhanced)  $T_{r}$  and increased (or decreased)  $E_{s}$ , along with possibly a shortened growth period. Differences in  $\text{ET}_{a}$  under varying planting densities can be explained by the direct and indirect effects of leaf area on the constitutive terms of  $\text{ET}_{a}$ . The variation of total growing-season  $\text{ET}_{a}$  under drip irrigation compared to conventional irrigation was affected by smaller soil wetting area, shortened growing season, less energy partitioning to  $\text{ET}_{a}$ , and changes in crop characteristics and microclimate.

The effect of  $e[CO_2]$  and water stress on  $g_s$  can be operationally described by Jarvis type functions, which can then be incorporated into a Penman–Monteith model to track their effects on  $ET_a$ . The effect of water and salinity stresses and planting density on crop  $ET_a$  can also be estimated using the FAO 56 crop coefficient model when introducing revisions to  $K_s$ ,  $K_{EC}$ , and  $K_d$ . The effect of varying types of warming on  $ET_a$  can be assessed based on a simplified Priestley–Taylor formulation with a dynamic coefficient. The  $ET_a$  responses to irrigation method can be estimated using a modified dual crop coefficient method by separating  $K_e$  into computing  $E_s$  by the fraction of soil wetted by precipitation only  $(K_{ep})$  and the evaporation from the fraction of soil wetted by both irrigation and precipitation  $(K_{ei})$ . The impact of mulching on cropland  $ET_a$  can be quantified by introducing the fraction of soil covered by mulching  $(f_m)$  into the Shuttleworth–Wallace, dual crop coefficient, or Priestley–Taylor models.

#### Table 8

The Potential Causes of Ten Affecting Factors on Total Growing-Season Transpiration  $(T_r)$ , Evaporation  $(E_s)$ , and Evapotranspiration  $(ET_a)$ 

Factors	$g_s$	Root growth	Leaf growth	VPD	Phenology <sup>a</sup>	Total $T_r$	Total $E_s$	Total ET <sub>a</sub>
CO <sub>2</sub>	(-)	(+)	(+)	(+)	NS	(+/NS/-)	(—)	( <b>+</b> /NS/–)
O <sub>3</sub>	(-)	(-)	(—)	(+)	(-)	(-)	(—)	(-)
Global warming	NA	NA	( <b>+</b> /NS/–)	(+)	(-)	NA	NA	( <b>+</b> /NS/–)
Water stress <sup>b</sup>	(-)	(-)	(-)	(+)	NA	(-)	(—)	(-)
Salinity stress <sup>c</sup>	(-)	(-)	(-)	(+)	NA	(-)	(—)	(-)
Heat stress	(-)	(-)	(-)	(+)	(-)	(-)	(+)	( <b>+</b> /NS/–)
Planting density	NA	NA	(+)	NA	(-)	( <b>+</b> /NS)	(—)	( <b>+</b> /NS)
Drip irrigation <sup>d</sup>	NA	(+)	(+/-)	(+)	(-)	(-)	(—)	(-)
Mulching <sup>e</sup>	(+)	(+)	(+)	NA	(-)	(+)	(—)	( <b>+</b> /NS)
Appropriate N application	(+)	(+/NS)	(+)	NA	NA	(+)	(-)	( <b>+</b> /NS)

*Note.*  $g_s$  is the leaf stomatal conductance to H<sub>2</sub>O. VPD is the vapor pressure deficit. NA is not reported. NS is no significant difference. (+) and (-) represent positive and negative effects on variables, and thereby ET<sub>a</sub>. <sup>a</sup>Negative represents shorten the total growing season. <sup>b</sup>Limited root water uptake due to low soil water potential is also the reason affecting ET<sub>a</sub>. <sup>c</sup>Limited root water uptake due to osmotic stress, iron imbalance and oxidative stress also affect ET<sub>a</sub>. <sup>d</sup>Less available energy transferring to latent heat flux is also the reason affecting ET<sub>a</sub>. <sup>c</sup>Altered available energy by changing the surface reflectance, absorption, and soil temperature is also the reason affecting ET<sub>a</sub>.

Although the response of  $ET_a$  to primary influencing factors has been reviewed, there are many aspects that deserve further inquiry:

- 1. The effect of  $e[O_3]$  on  $g_s$  can be described by a modified Jarvis function. However, it is mainly used to calculate the stomatal  $O_3$  flux. There is a lack of attempt to incorporate this response of  $g_s$  to  $e[O_3]$  into the Penman–Monteith model to estimate  $ET_a$ .
- 2. Many controlled manipulation experiments such as FACE, OTC, and free air temperature increase facilities investigated varying types of warming on  $A_n$ , crop growth, grain yield, and quality. However, crop  $ET_a$  under varying types of warming is under–reported. Water balance method, the residual in the energy balance method, sap flow plus micro–lysimeters, or even weighting lysimeters can be used to observe  $ET_a$  under several warming scenarios, which is needed to link cropland  $ET_a$  to productivity in response to warming.
- 3. At present, there are few studies on  $ET_a$  responses to heat stress, and most are based on pot experiments in phytotrons or artificial climate chambers (Nakad et al., 2023). Obtaining larger–scale data of  $ET_a$  under heat stress, such as data from tanks with an area of 1–4 m<sup>2</sup> in phytotrons, is beneficial to understand heat stresses on  $ET_a$ . In addition, there is a need to unify the accumulated daily heat degree days used to quantify the effect of heat stress on biomass, yield, and phenology in crop models with  $ET_a$ .
- 4. Models for  $e[CO_2]$  and  $e[O_3]$  on  $ET_a$  using a simplified Priestley–Taylor and crop coefficient models are rarely reported. The key to establish a modified Priestley–Taylor model is to quantify the effect of  $e[CO_2]$ and  $e[O_3]$  on the Priestley–Taylor coefficient. For crop coefficient models, the challenge is to propose coefficients of  $e[CO_2]$  and  $e[O_3]$  on  $K_c$  or  $K_{cb}$  similar to  $K_s$ ,  $K_{EC}$ , and  $K_d$ .
- 5. In practice, the cropland  $\text{ET}_{a}$  is jointly affected by multiple factors, such as compound drought and heat stresses,  $e[CO_2]$  and warming,  $e[O_3]$  and warming,  $e[CO_2]$  and salinity stress. The impact of multiple factors on cropland  $\text{ET}_{a}$  is a complex and multifaceted phenomenon that requires long-term consideration of many environmental stressors and their interactions.

#### **Data Availability Statement**

Data supporting Tables are through the cited literature. Typical error data in Table 1 are from R. G. Allen et al. (2011b). Data in Table 3 are collected from Burkart et al. (2011), Bernacchi et al. (2006), Magliulo et al. (2003), Hussain et al. (2013), Kimball et al. (1999), Triggs et al. (2004), Yoshimoto et al. (2005), Kimball et al. (1994), N. K. Lenka et al. (2021), Kang, Zhang, Hu, and Zhang (2002), L. H. Allen et al. (2003), F. S. Li et al. (2004), Wei et al. (2021, 2022), and X. J. Li et al. (2018). Data in Table 6 are collected from Valentín



et al. (2021), Ferreira and Carr (2002), and Oweis et al. (2011).

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